



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Two Vacancies

Senior Attorney, SG-25

Location: One State Street, New York City

Business Unit: Producers Bureau

Negotiating Unit: Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$93,530 – 117,875

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,400 annual downstate adjustment.

Appointment Status: Permanent

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services (DFS) is seeking candidates for the position of Senior Attorney in the Producers Bureau. Duties will include, but not be limited to, the following:

- Negotiates and drafts Stipulations of Settlement imposing fines on license and waiver applicants and licensees;
- Prepares and conducts disciplinary proceedings and administrative hearings;
- Assists Producers examiners in their investigations including analyzing legal issues, determining violations of law, identifying necessary evidence, evaluating strength of evidence developed by examiners, assessing the need for waivers, evaluating license applications based on certain disclosure, and recommending proposed fines and other disciplinary action;
- Advises and makes recommendations to Producers examiners and supervisors on legal matters involving their investigations;
- Provides legal advice and assistance to examiners on regulatory issues and matters concerning evidence and discovery;
- Conducts legal research;
- Drafts, reviews, and evaluates proposed legislation and regulations;
- Assists Producers examiners in the preparation of, and in conducting, statements and examinations under oath;
- Conducts training for employees of the Department;
- Develops guidelines, policies, and procedures to be used by Producers examiners in preparing for and conducting investigations;
- Assists the Director and Deputy Director of the Producers Bureau with special projects and legal matters; and
- Assists the Superintendent and the Executive Deputy Superintendent of the Insurance Division with projects, investigations, and legal matters.

This position is to be filled by Selective Certification. The skill requirement is:

- Intermediate experience with litigation Note: Intermediate is defined as having performed the task frequently. Guidance may be required from time to time, but it can usually be performed independently.

Appointment method:

NY HELPS: This title is part of the New York Hiring for Emergency Limited Placement Statewide Program (NY HELPS).

For the duration of the NY HELPS Program, this title maybe filled via a non-competitive appointment, which means no examination is required but all candidates must meet the minimum qualifications of the title for which they apply. At this time, agencies may recruit and hire employees by making temporary appointments. In May 2024, if a temporary NY HELPS employee is satisfactorily performing in the position, the appointment will be changed from temporary pending Civil Service Commission Action to permanent non-competitive and the official probationary period will begin.

At a future date (within one year of permanent appointment), it is expected employees hired under NY HELPS will have their non-competitive employment status converted to competitive status, without having to compete in an examination. Employees will then be afforded with all of the same rights and privileges of competitive class employees of New York State. While serving permanently in a NY HELPS title, employees may take part in any promotion examination for which they are qualified.

List Appointment: Candidates must be reachable on the Civil Service eligible list for 20620.

Transfer: Candidates must have one year of permanent competitive service in a title eligible for transfer via Section 70.1 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than April 17, 2024** to the email address listed below. Please include the **Box#SA-00249** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Ioni Reyes
Box#SA-00249
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: Ioni.Reyes@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

All people with disabilities are encouraged to apply to all jobs for which they meet the minimum qualifications.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.