



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Two Vacancies

Assistant Deputy Superintendent for Examination and Monitoring, NS

Location: Albany or NYC

Business Unit: Virtual Currency

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$162,868 - \$201,711 (salary commensurate with experience)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,087 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services is seeking candidates for the position of Assistant Deputy Superintendent for Examination and Monitoring in the Virtual Currency Unit within the Research and Innovation Division. These positions report to the Deputy Superintendent for Virtual Currency and are responsible for the planning and execution of entity examinations and interim supervisory monitoring. These positions will manage teams of examination and monitoring staff dedicated to the oversight of BitLicensees.

The duties include, but are not limited to, the following:

- Responsible for overseeing examinations and ongoing monitoring of BitLicensees;
- Manages teams of staff dedicated to examination and monitoring;
- Coordinates intake of newly licensed entities into the examination and monitoring program;
- Oversees planning and execution of scheduled and risk-based examination;
- Oversees follow-up on examination findings;
- Coordinates with Limited Purpose Trust examination and monitoring;
- Oversees collection and review of all required reports from BitLicensees;
- Coordinates with shared services team for appropriate resources and staffing;
- Stays abreast of trends in virtual currency markets, including the development of new products and services, virtual currency linked investments, the underlying technologies, data privacy, anti-money laundering initiatives, and updating stakeholders and agency management on a periodic basis; and
- Other duties as assigned.

Preferred Qualifications

- Bachelors degree and at least 5 years' experience in an examination function, financial services experience preferred.
- Experience directly managing teams.
- Compliance, legal, or other relevant experience on matters related to virtual currencies, digital currencies, blockchain, distributed ledger technology.
- Knowledge and experience related to the Department's virtual currency regulations.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than March 11, 2024** to the email address listed below. Please include Box# (**Box ADSEM-DFSP3-09162-3**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Kara Waters
Box ADSEM-DFSP3-09162-3
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.