



An Equal Opportunity/Affirmative Action Employer

## Announcement of Intention to Fill a Job Vacancy

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### Senior Attorney, SG-25

(Position may be filled at the Trainee level)

**Location:** One State Street, New York City

**Business Unit:** Civil Investigations

**Negotiating Unit:** Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary:** \$61,270 - \$77,912 (Assistant Attorney 1)  
\$67,897 - \$86,140 (Assistant Attorney 2)  
\$75,340 - \$95,392 (Assistant Attorney 3)  
\$88,161 - \$111,111 (Senior Attorney)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

**Appointment Status:** Permanent

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The New York Department of Financial Services seeks to build an equitable, more transparent and resilient financial system that benefits New Yorkers and supports business. Through engagement, data-driven regulation, and operational excellence, DFS is responsible for empowering consumers and protecting them from financial harm; ensuring the health and stability of the financial entities we regulate; and driving economic growth through responsible innovation.

The Department of Financial Services is seeking candidates for the position of Senior Attorney in the Civil Investigations Unit. Duties include, but are not limited to, the following:

- Proposes and develops new areas and subjects for investigations and develop investigations of identified persons, entities and/or industries based upon whistleblowers, consumer complaints and other information;
- Works on investigations of potential violations of the Insurance, Banking, Financial Services Laws and other state and federal laws, including requesting and reviewing document productions, negotiating discovery disputes, taking testimony, negotiating settlements with opposing counsel, and preparing for and conducting administrative hearings and litigation;
- Conducts legal research;
- Provides legal advice on matters regarding regulatory actions and develop legal approaches to accomplish various departmental objectives;
- Prepares pleadings, motion papers and memoranda of law in connection with litigation and administrative proceedings;
- Analyzes and evaluate evidence, identify law violations, and propose monetary penalties, restitution, injunctive relief and/or referral for prosecution;

- Assists in the review and amendment of insurance, banking and financial services law regulations, identifying law violations and emerging fraud trends;
- Assists in the review of proposed legislation and regulations and the implementation of passed legislation and adopted regulations
- Works on drafting new legislation and regulations to assist the Department in fulfilling its mandate;
- Works on initiatives of the Superintendent and Executive Deputy Superintendent; and
- Conducts special studies and research about issues and programs of interest to the Division and prepare reports and recommendations as assigned.

**Appointment method:**

**List Appointment:** Candidates must be reachable on the Civil Service eligible list for 20-131.

**55 b/c Appointment:** Candidates must meet the eligibility requirements of the Governor's Program to Hire Individuals and Veterans with Disabilities as described in Civil Service Law § 55b/c. Information about this program can be found here: <https://www.cs.ny.gov/rp55/>

**Transfer:** Candidates must have one year of permanent competitive service in a title eligible for transfer via Sections 70.1 or 52.6 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmof/>.

*Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.*

**ADDITIONAL INFORMATION:**

**The Traineeship:** If you are appointed to one of the entry levels of the Attorney Traineeship, you will normally be advanced after each twelve months of satisfactory service to the next higher title (with salary based on performance) until you reach the full performance level of a Senior Attorney. If you are appointed as an Assistant Attorney 1, you will be automatically advanced to Assistant Attorney 2 upon admission to the New York State Bar. **If you are not admitted to the New York State Bar within two years of appointment, your appointment will be terminated.** All service during the traineeship will be in probationary status. Performance will be evaluated every six months against established standards which reflect a timetable for gaining the knowledge and skills necessary so that appointees are able to function at the Senior Attorney level at the completion of the traineeship.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than March 23, 2023** to the email address listed below. Please include the Box # SA-00287 in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Christine McCann  
Box SA-00287  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: Christine.McCann@dfs.ny.dov  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview**

**Public Service Loan Forgiveness**

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

**AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at [response@oer.ny.gov](mailto:response@oer.ny.gov).***