



KATHY HOCHUL  
Governor

ADRIENNE A. HARRIS  
Superintendent

## **LIFE SETTLEMENT PROVIDER 2022 ANNUAL SIU REPORT**

### **NEW YORK BUSINESS ONLY**

**Due Date: March 15, 2023**

Date:

Provider Name: \_\_\_\_\_

Provider License #: \_\_\_\_\_

Provider Street Address: \_\_\_\_\_

Provider City: \_\_\_\_\_ Provider State: \_\_\_\_\_ Provider Zip Code: \_\_\_\_\_

- |  |                      |
|--|----------------------|
| 1) Number of life settlement applications received by Provider in 2022   | <input type="text"/> |
| 2) Number of life settlement applications declined by provider in 2022   | <input type="text"/> |
| 3) Total face amount settled by Provider in 2022                         | <input type="text"/> |
| 4) Total number of policies purchased in 2022                            | <input type="text"/> |
| 5) Number of suspicious applications detected in 2022                    | <input type="text"/> |
| 6) Number of IFBs reported to NYSDFS Criminal Investigation Unit in 2022 | <input type="text"/> |

Life Settlement Provider Annual SIU Report preparer contact information:

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Email address: \_\_\_\_\_ Telephone No: \_\_\_\_\_

Executive Officer's signature