



An Equal Opportunity/Affirmative Action Employer
Announcement of Intention to Fill a Job Vacancy

Financial Services Manager 1, SG-29

Location: One State Street, New York City

Business Unit: Licensed Financial Services

Negotiating Unit: Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$108,376 - \$132,732

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: Permanent

The New York Department of Financial Services (DFS) seeks to build an equitable, more transparent and resilient financial system that benefits New Yorkers and supports business. Through engagement, data-driven regulation, and operational excellence, DFS is responsible for empowering consumers and protecting them from financial harm; ensuring the health and stability of the financial entities we regulate; and driving economic growth through responsible innovation.

DFS is seeking candidates for the position of Financial Services Manager 1. Duties include, but are not limited to, the following:

- Processes a variety of applications and managing other related tasks;
- Conducts analysis of complicated and high-risk applications particularly those involving transmission of money and virtual currency and make sound recommendations;
- Reviews and makes constructive recommendations to the business unit head on the unit's application procedures including strategies to enhance efficiency;
- Meets with Department attorneys to assist with determinations on licensing, involving, but not limited to, complex domestic and global activities, conflicts, and other issues presented in various applications;
- Leads or participates in meetings with prospective applicants and advises on the need for licensing and other relevant matters pertaining to applications;
- Manages the unit, delegates staff assignments, and supervises staff;
- Reviews inquiries received from external parties and prepares responses, arranges meetings, or advises on other actions to be taken;
- Assists subordinates in making presentations to executive management and applicants;
- Interacts with staff in other business units, executive management team, and external parties on a variety of application issues;
- Conducts research on application issues using internal and external sources and makes presentations to the business unit head;
- Serves as a mentor to junior examiners and provides training and support on all application matters;
- Assists with the preparation of application reports or other similar tasks within the unit;
- Engages with staff in other states on processes or other application matters;
- Represents the Department at New York or multi-state meetings and conferences; and

- Serves as the lead or participant on various special projects.

Appointment method:

List Appointment: Candidates must be reachable on the Civil Service eligible list for 38-417.

Transfer: Candidates must have one year of permanent competitive service in a title eligible for transfer via Sections 70.1 or 52.6 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmof/>.

Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than November 28, 2022** to the email address listed below. Please include the Box # (**FSM1 – 02308**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Christine McCann
Box # **FSM1 – 02308**
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: Christine.McCann@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.