



An Equal Opportunity/Affirmative Action Employer
Announcement of Intention to Fill a Job Vacancy

Financial Services Examiner 2, SG-23

Location: One State Street, New York City

Business Unit: Consumer Examinations Unit

Negotiating Unit: Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$79,325 - \$100,342

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: Permanent

The New York Department of Financial Services seeks to build an equitable, more transparent and resilient financial system that benefits New Yorkers and supports business. Through engagement, data-driven regulation, and operational excellence, DFS is responsible for empowering consumers and protecting them from financial harm; ensuring the health and stability of the financial entities we regulate; and driving economic growth through responsible innovation.

The Department of Financial Services (DFS) is seeking internal applicants to fill the position of Financial Services Examiner 2 in the Consumer Protection and Financial Enforcement Division's Consumer Examination Unit ("CEU"). CEU's mission is to maintain and enhance consumer confidence in New York's financial services industry and protect customers. CEU does this by ensuring that regulated institutions abide by the State's consumer protection, fair lending, student loan servicer and Community Reinvestment Act ("CRA") laws and regulations. The position includes participating in consumer compliance and fair lending examinations of depository and non-depository institutions; CRA examinations of state-chartered banks and non-depository mortgage lenders; examinations of consumer credit reporting agencies; and examinations of student loan servicers. Duties will include, but will not be limited to, the following:

- Acts as Examiner-in Charge and/or assists in consumer compliance, fair lending examinations, community reinvestment act evaluations, consumer credit reporting agency and student loan servicers examinations;
- Leads a team of examiners in reviewing the institution's community reinvestment act performance or compliance monitoring system, complaints, deposit practices, treatment of borrowers and other relevant practices against banking laws and regulations;
- Leads in the technical review, and analysis of depository and non-depository institutions and other financial entities for compliance with consumer protection laws including Executive Law Section 296-a and Banking Law regulations;
- Assigns tasks to examination team members;
- Concisely prepares memorandums and examination reports, while ensuring timely submission;
- Makes recommendations, based on the team's analysis of institutions, regarding corrective and enforcement actions;
- Leads and/or participates in meetings with examinee's management and federal regulators;
- Manages the presentation of examination findings and recommendations with senior management;
- May supervise lower-level staff; and
- Other duties as assigned.

Appointment method:

List Appointment: Candidates must be reachable on the Civil Service eligible list for 38-414.

Transfer: Candidates must have one year of permanent competitive service in a title eligible for transfer via Section 70.1 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than June 13, 2022** to the email address listed below. Please include the Box # **(Box 07020)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Ryan Taratus
Box 07020
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: ryan.taratus@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.