

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to a Job Vacancy

Financial Services Examiner 2, SG-23

Location: One Commerce Plaza, Albany OR Business Unit: Producers Bureau
One State Street, New York City

Negotiating Unit: Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$79,325 - \$100,342

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: Contingent Permanent or Permanent

The New York Department of Financial Services seeks to build an equitable, transparent and resilient financial system that benefits individuals and supports business.

The Department of Financial Services is seeking candidates for the position of Financial Services Examiner 2. Duties include, but are not limited to, the following:

- Reviews original producer licenses and renewal application referrals;
- Investigates the facts of the application cases and makes a determination as to whether the applicant or licensee had violated the New York Insurance Law, Financial Services Law, and/or Department Regulations;
- Investigates complaints against licensees and makes determinations as to whether the licensee violated the New York Insurance Law, Financial Services Law, and/or Department Regulations;
- Prepares internal memoranda recommending disciplinary action;
- Drafts correspondence with licensees, applicants, and their representatives;
- Conducts statements under oath and audits of licensees' books and records;
- Testifies at disciplinary hearings; and
- Supervises Financial Services Examiner 1s and Financial Services Examiner Trainees.

Appointment method:

List Appointment: Candidates must be reachable on the Civil Service eligible list for Financial Services Examiner (38-414).

Transfer: Candidates must have one year of permanent competitive service in a title eligible for transfer via Section 70.1 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than May 18, 2022** to the email address listed below. Please include the Box # (**Box# FSE2-PROD-07326**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Shelly Smith
Box# FSE2-PROD-07326
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: Shelly.Smith@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.