



**An Equal Opportunity/Affirmative Action Employer**

**Announcement of Intention to Fill a Job Vacancy**

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**Deputy Counsel, NS**

**Location:** One State Street, New York City

**Business Unit:** Office of General Counsel

**Negotiating Unit:** Management Confidential (MC)

**Please note that a change in negotiating unit may affect your salary, insurance and or benefits.**

**Salary:** \$112,155 to \$141,538 (Salary is commensurate with experience)

**\*Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.**

**Appointment Status:** This is an appointment to a position in the exempt jurisdictional class.

**Appointment to this position is pending Governor Appointment's Office and Division of Budget approval.**

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The Department of Financial Services (DFS) is seeking candidates for the position of Deputy Counsel within the Office of General Counsel (Banking) located in NYC. The Deputy Counsel duties will include, but not be limited to, the following:

- Renders professional regulatory legal services of a high degree of difficulty involving application of state and federal law to New York chartered financial institutions;
- Reviews applications for legal sufficiency;
- Provides oversight of regulatory amendments related to the Banking Law;
- Assists with policy and practical matters concerning the regulation of banks doing business in New York;
- Reviews and prepares memoranda on legislation which has been recommended by others and which may affect the work of the Department of State and chartered banking organizations;
- Develops initiatives in support of the mission and goals of the Banking Division;
- Interacts with state-chartered banks, licensed branches and agencies of foreign banking corporations, licensed lenders, check cashers and any other institution regulated by the Banking Division;
- Drafts or amends regulations under Title Numbers 3 (Banking), and/or 23 (Financial Services) of the New York Codes, Rules and Regulations (NYCRR) to support the needs of the Department;
- Drafts amendments to existing regulations of the NYCRR to clarify language;
- Reviews and edits regulations proposed by program areas;
- Ensures that regulations are available for public comment, prepares regulatory impact statements to address the impact on regulated entities, and explains proposed regulations to the Executive Chamber;
- Submits the proposed regulation with accompanying statements to the Department of State for publication in the NYS Register;
- Prepares pleadings; plans litigation strategy; drafts legislation, rules, and regulations; performs legal research and analysis; drafts or reviews contracts; drafts memoranda of understanding and other agreements with domestic and foreign regulators; prepares opinions and memoranda of law; or appears at administrative tribunals of courts;
- May supervise less experienced attorneys within the Office of General Counsel; and
- Other duties as assigned.

**Preferred Qualifications**

- Law degree from an accredited law school and admission to, and member in good standing of, the New York State Bar.
- Six years of relevant post-bar experience.

- Strong banking experience and familiarity with the Banking Law and Financial Services Law preferred.
- Superior written and legal research skills.

### **Appointment Method**

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than May 13, 2022**, to the email address listed below. Please include **(Box AC-DC-00812)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Nicole Pickel  
**Box AC-DC-00812**  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza  
99 Washington Avenue, Suite 301  
Albany, NY 12257  
**Email: NCE.Notifications@dfs.ny.gov**

**All candidates that apply may not be scheduled for an interview.**

### **AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov***