



One State Street, New York, NY 10004  
**An Equal Opportunity/Affirmative Action Employer**

## **Announcement of Intention to a Job Vacancy**

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**Senior Attorney, SG-25**  
(Positions may be filled at the Trainee level)

**Location: One State Street, New York City**

**Business Unit: Producers Unit**

**Negotiating Unit: Professional, Scientific and Technical (PEF)**

**Please note that a change in negotiating unit may affect your salary, insurance and other benefits.**

**Salary: \$66,566 - \$108,935**

**Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.**

**Appointment Status: Permanent**

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The Department of Financial Services is seeking candidates to fill the position of Senior Attorney, working in the Department's Producers Bureau ("Producers"). This Bureau licenses producers, conducts investigations of certain license applicants and licensed insurance producers relating to violations of the Insurance Law, and imposes disciplinary penalties and conducts disciplinary proceedings. Duties include, but are not limited to, the following:

- Negotiates and drafts Stipulations of Settlement imposing fines on license and waiver applicants and licensees;
- Prepares and conducts disciplinary proceedings/administrative hearings;
- Assists Producers examiners in their investigations (e.g., analyzes legal issues, determines violations of law, identifies necessary evidence, evaluates strength of evidence developed by examiners, assesses the need for waivers, evaluates license applications based upon certain disclosure, and recommends proposed fines and other disciplinary action);
- Advises and makes recommendations to Producers examiners and supervisors on legal matters involving their investigations;
- Provides legal advice and assistance to examiners on regulatory issues and matters concerning evidence and discovery;
- Conducts legal research;
- Drafts, reviews, and evaluates proposed legislation and regulations;
- Assists Producers examiners in the preparation of, and in conducting, statements/examinations under oath;
- Conducts training for employees of the Department;
- Develops guidelines, policies and procedures to be used by Producers examiners in preparing for and conducting investigations;
- Assists the Director and Deputy Director of the Producers Bureau with special projects and legal matters; and
- Assists the Superintendent and the Executive Deputy Superintendent of the Insurance Division with projects, investigations and legal matters.

**Appointment method:**

**List Appointment:** Candidates must be reachable on the Civil Service eligible list for 20-131.

**55 b/c Appointment:** Candidates must meet the eligibility requirements of the Governor's Program to Hire Individuals and Veterans with Disabilities as described in Civil Service Law § 55b/c. Information about this program can be found here: <https://www.cs.ny.gov/rp55/>

**Transfer:** Candidates must have one year of permanent competitive service in a title eligible for transfer via Sections 70.1 or 52.6 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

*Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.*

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than January 31, 2022** to the email address listed below. Please include the Box # **(Box 00249)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Ryan Taratus  
Box 00249  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: [ryan.taratus@dfs.ny.gov](mailto:ryan.taratus@dfs.ny.gov)  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview**

#### **AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at [info@goer.ny.gov](mailto:info@goer.ny.gov).***