



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Job Vacancy

Financial Services Specialist 4 (Cyber Security), SG-27

Location: Albany or NYC*

Business Unit: Cybersecurity

Negotiating Unit: Professional, Scientific & Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$95,908 - \$118,129

***Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.**

Travel required – percentage will vary dependent upon location and assignment.

Appointment Status: Contingent Permanent

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services (DFS) is seeking applicants to fill the position of Financial Services Specialist 4 (Cyber Security) in the Cybersecurity Division. The incumbent for this position will support DFS's mission to improve cybersecurity throughout the financial services industry by examining and supervising regulated entities. Duties will include, but not be limited to, the following:

- Plans and conducts full scope and target cybersecurity and information technology (IT) examinations at regulated institutions and technology service providers to ascertain safety and soundness, and for compliance with the relevant laws and regulations, including the Department's first-in-the-nation Cybersecurity regulation;
- Determines adequacy of records, systems and controls governing financial institutions;
- Assesses the systems supporting back-office operations (i.e., systems for trading and investment activities and other financial and insurer functions), and the automated systems providing the middle office and front office with the position, limit and other reports necessary to manage risk;
- Writes and/or manages writing of the Cybersecurity and IT examination reports;
- Prepares and delivers findings of such examinations to regulated institutions and to Department management;
- Reviews the policies and procedures relative to IT effects upon financial services institution operations;
- Conducts, prepares, and participates in training in cybersecurity and other examination issues;
- Reviews applications from regulated institutions for compliance with cybersecurity and IT standards and regulations;

- Supervises staff by developing the examination plan, organizes and coordinates activities during the examination, and assigns and monitors work of subordinate staff;
- Assists in review and revision of examination policies and procedures, including work programs and other related materials;
- Assists with overall management, supervision and guidance of business unit activities and staff; and
- Other duties as assigned.

Appointment Method

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

Non-Competitive: A Bachelor's Degree or higher in Business Administration, Computer Information Systems, Computer Science, Criminal Justice, Cyber Security, Information Security, Information Systems, Information Technology, International or Public Affairs, Law, or Technology **and** five (5) years of specialized experience in the following. Of which two (2) years must have been at a supervisory level:

- Establishing, managing or auditing cyber security and technical control programs with industry standard frameworks such as FFIEC, NIST, SANS, and ISO 27001.

Substitutions:

A Master's Degree in one (1) of the related fields or a J.D. may substitute for one (1) year of specialized experience. A Ph.D. in one (1) of the related fields may substitute for two (2) years of specialized experience.

Preferred Qualifications

- Knowledge and experience related to the Department's cybersecurity regulation and other regulatory standards
- Relevant industry certifications, such as CISSP, CISA, CCSP, CISM, and CEH.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than January 18, 2021**, to the email address listed below. Please include **(Box FSS4-CyberSec)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Denise Rotunda
Box FSS4-CyberSec
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza
99 Washington Avenue, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov

All candidates that apply may not be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov