



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Director, Financial Services Programs 2 – SG-33

Location: One State Street, New York City

Business Unit: Office of General Counsel

Negotiating Unit: Professional, Scientific & Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and or benefits.

Salary: \$130,770 to \$158,018

***Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.**

Appointment Status: Permanent

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services (DFS) is seeking candidates for the position of Director, Financial Services Programs 2 within the Office of General Counsel (Banking) located in NYC. The Director, Financial Services Programs 2 duties will include, but not be limited to, the following:

- Renders professional regulatory legal services of a high degree of difficulty involving application of state and federal law to New York chartered financial institutions;
- Reviews applications for legal sufficiency;
- Provides oversight of regulatory amendments related to the Banking Law;
- Develops initiatives in support of the mission and goals of the Banking Division;
- Interacts with state-chartered banks, licensed branches and agencies of foreign banking corporations, licensed lenders, check cashers and any other institution regulated by the Banking Division;
- Drafts or assists in drafting new regulations to Title Numbers 3 (Banking), and/or 23 (Financial Services) of the New York Codes, Rules and Regulations (NYCRR) to support the needs of the Department;
- Drafts amendments to existing regulations of the NYCRR to clarify language;
- Reviews and edits regulations proposed by program areas;
- Ensures that regulations are available for public comment, prepares regulatory impact statements to address the impact on regulated entities, and explains proposed regulations to the Executive Chamber;
- Submits the proposed regulation with accompanying statements to the Department of State for publication in the NYS Register; and
- Prepares pleadings; plans litigation strategy; drafts legislation, rules, and regulations; performs legal research and analysis; drafts or reviews contracts; drafts memoranda of understanding and other agreements with domestic and foreign regulators; prepares opinions and memoranda of law; or appears at administrative tribunals of courts.

Preferred Qualifications

- Strong banking experience and familiarity with the Banking Law and Financial Services Law preferred.
- Superior written and legal research skills.
- JD Degree preferred.

Appointment Method

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

Non-Competitive: A Bachelor's Degree in accounting, actuarial sciences, auditing, banking, business, business administration, business and technology, commerce, computer information systems, computer science, consumer sciences, criminal justice, cyber security, econometrics, economics, finance, financial administration, health, health administration, information systems, information systems engineering, information technology, internal controls, international or public affairs, law, market analysis, mathematics, public administration, public policy, risk management, statistics, taxation, or technology and eight (8) years of financial services experience with: 1) a regulated entity who has a regional, national and/or international presence; or 2) a governmental regulatory entity. This experience must include practical and technical knowledge about State, federal and/or international financial services laws, rules, and regulations. Three (3) years of the experience must have been at a managerial level.

Substitutions: A J.D. or a master's degree may substitute for one (1) year of specialized experience; and a Ph.D. may substitute for two (2) years of specialized experience.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than January 25, 2022**, to the email address listed below. Please include **(Box DFSP2-OGC-10070)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Nicole Pickel
Box DFSP2-OGC-10070
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza
99 Washington Avenue, Suite 301
Albany, NY 12257
Email: NCE.Notifications@dfs.ny.gov

All candidates that apply may not be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov