



One State Street, New York, NY 10004

**An Equal Opportunity/Affirmative Action Employer**

## **Announcement of Intention to Fill a Job Vacancy**

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### **Financial Services Specialist 2 (Policy Analysis), SG-23**

**Location: One State Street, NYC**

**Business Unit: Research and Innovation**

**Negotiating Unit: Professional, Scientific & Technical (PEF)**

**Please note that a change in negotiating unit may affect your salary, insurance and other benefits.**

**Salary: \$77,770 - \$98,372**

**Appointment Status: Permanent**

**Appointment to this position is pending Division of Budget approval to fill.**

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The Department of Financial Services (DFS) is seeking applicants for a role helping to shape and carry out DFS's Research and Innovation Division's agenda. This agenda centers around interacting with innovative, often technology-intensive financial-services companies and industries, helping to bridge the gap between their needs and financial regulations that may have been created before the new business models existed. The agenda also may involve broader policy research and analysis, much of it focused on fintech, insurtech, and regtech business proposals or developments. The Financial Services Specialist 2 (Policy) will support policy analysis projects and cross-agency initiatives and will serve as the central coordinator for projects in the Research and Innovation Division to ensure proper implementation. Duties include, but are not limited to, the following:

- Serves as the main project manager and coordinator on an array of policy projects, such as the continued implementation of [FastForward](#), DFS's main program for outreach to innovative businesses seeking to do business in New York State;
- Assists in drafting policy recommendations, and contributes extensively to the analysis of policy proposals, in consideration of DFS's overall priorities and the commercial and policy landscape.
- Plans, manages, and participates in meetings, panel discussions, and round-table discussions to gather information on policy ideas in the financial-services area, and to communicate with DFS stakeholders, such as firms, other government agencies, and consumer groups.
- Directs and manages agency projects by conducting research, developing implementation strategies, providing oversight, implementing policies and procedures, and offering recommendations to program staff to resolve issues and ensure project timelines are met;
- Provides direction for projects by developing short- and long-term project goals and developing timelines for implementation;
- Performs research and analysis for assigned program areas and prepares reports and presentations for use by executive management;
- Supports the development and maintenance of partnerships with internal and external persons and entities and meets with outside stakeholders to discuss programs and projects;
- Provides briefings and status updates to executive staff and other parties;
- Works on projects and initiatives as requested by the Superintendent and executive staff;
- Monitors and evaluates news sources and social media to identify issues and challenges relevant to financial products and services in New York State;
- May supervise staff or project teams; and
- Other duties as assigned.

## **Appointment method:**

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment.

**Non-Competitive:** A Bachelor's Degree in Business, Business Administration, Economics, Econometrics, Finance, Health, Health Administration, Health Policy, Law, Mathematics, Political Science, Public Administration, Public Health, Public Policy, Risk Management or Statistics and two (2) years of specialized experience in one or more of the following:

- Research, planning, administration, and/or analysis of the policies and procedures affecting regulated entities and how they conduct business activities with the public and/or other regulated entities.
- Research and analysis of State and federal statutes and regulations and their effects upon the business activities of financial services entities with regard to the public and/or other financial services entities.

A Master's Degree in one (1) of the related fields or a J.D. may substitute for one (1) year of specialized experience. A Ph.D. in one (1) of the related fields may substitute for two (2) years of specialized experience.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than January 25, 2022** to the email address listed below. Please include the Box # **FSS2(PA)R&I-** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Nicole Pickel  
Box # FSS2(PA)R&I  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: [NCE.Notifications@dfs.ny.gov](mailto:NCE.Notifications@dfs.ny.gov)  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview**

### **AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at [info@goer.ny.gov](mailto:info@goer.ny.gov).***