



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Job Vacancy

Assistant Deputy Superintendent of the Life Bureau, NS

Location: One State Street, NYC

Business Unit: Life Bureau

Negotiating Unit: Management Confidential (MC)

Please note that a change in negotiating unit may affect your salary, insurance, and other benefits.

Salary: \$151,984 - \$188,231 (Salary commensurate with experience)

Please note that positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services (DFS) is seeking executive level applicants to fill the position of Assistant Deputy Superintendent for the Life Bureau in the Insurance Division. The Life Bureau is responsible for the supervision and regulation of the entire life insurance industry in New York State and does so by establishing standards for financial solvency, market conduct and corporate oversight and produces regulations, while monitoring the compliance of regulated entities with such standards.

The Assistant Deputy Superintendent is responsible for assisting the Deputy Superintendent for the Life Bureau, the Sr. Deputy Superintendent and the Executive Deputy Superintendent for Insurance in all supervisory, regulatory, and legislative issues related to the operations and activities of the Life Bureau. Working under the general direction of the Deputy Superintendent for the Life Bureau, the position will function with great independence and authority, while serving as a valued member of the Superintendent's Executive team in the following capacities:

- Assists in implementation of the Superintendent's goals and objectives for the Life Insurance Bureau;
- Provides the Deputy Superintendent for the Life Bureau and, when necessary, the Sr. Deputy Superintendent and Executive Deputy Superintendent with advice on issues related to the life insurance industry and regulatory process;
- Assists in the oversight and management of the daily activities and operations of the Life Insurance Bureau and its over one hundred and thirty full time employees, with responsibilities including oversight of the licensing, the National Association of Insurance Commissioners (NAIC) accreditation, and the examination and regulation of all life insurers and related entities;
- Makes recommendations that are relevant to the issues based on a thorough understanding of the short- and long-range impact;
- Assists with establishing policies, procedures, guidelines and appropriate supervisory actions relating to the

Life Insurance Bureau;

- Presides at or participates in meetings and conferences with insurers, representatives of industry organizations, the NAIC, other governmental agencies and the public on behalf of DFS;
- Ensures that the programs of the Governor and the agency are effectively and expeditiously implemented;
- Acts for and in place of the Deputy Superintendent for the Life Bureau as necessary; and
- Develops a collaborative working relationship with other divisions within DFS.

Preferred Qualifications:

At least five years of experience working for a company in the life insurance sector, or at least ten years of experience working in life insurance regulation, and at least three years of managerial supervision. The ideal candidate will be an attorney or will have actuarial and/or accounting experience.

Appointment Method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than September 30, 2021**, to the email address listed below. Please include (**Box ADSLB-10136**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Nicole Pickel
Box ADSLB-10136
New York State Department of Financial Services
Office of Human Resources Management
99 Washington Avenue, Suite 301
Albany, New York 12257
Email: nce.notifications@dfs.ny.gov
Fax: (518) 402-5071

Please note that not all applicants may be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of

employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.