



One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Multiple Job Vacancies

Financial Services Examiner 1, G-18

Location: One Commerce Plaza, Albany **Business Unit:** Various

Negotiating Unit: Professional, Scientific and Technical (PS&T)

Salary: \$56,604 - \$71,980

Appointment Status: Permanent

The Department of Financial Services (DFS) supervises and regulates insurance, banking and other financial industries. Our mission is to reform the regulation of financial services in New York to keep pace with the rapid and dynamic evolution of these industries, to guard against financial crises and to protect consumers and markets from fraud.

DFS seeks candidates to fill the position of Financial Services Examiner 1 to help the Department keep pace with the rapid and ever-evolving financial services industry, including money laundering, terrorist financing, cyber security, affordable health care, mortgage and insurance fraud, and consumer protection, among others. Duties of this position will include, but not be limited to, the following:

- Monitors various types of financial services activities according to the statutory responsibilities of the agency;
- Reviews and analyzes different types of documents, transactions, operations and data for compliance with Financial Services laws, rules, and regulations;
- Assists parties such as consumers and financial services institutions in resolving problems by interpreting program guidelines and regulations;
- Prepares narrative reports and draft correspondence concerning the agency's regulatory and examination programs and standards for financial services entities; personally handle routine regulatory activities; and
- Other appropriate and occupationally related duties as assigned.

*Extensive travel may be required, including overnight travel. Appointees must possess a valid license to operate a motor vehicle in New York State or otherwise demonstrate their ability to meet the transportation needs of the job in carrying out their responsibilities in any location to which travel is required, including locations not accessible by public transportation. Field work and travel expenses will be reimbursed on a fixed schedule as determined by the Office of the State Comptroller.

Appointment method:

Transfer (70.1): Candidates must have one year of permanent competitive service in a title eligible for transfer via § 70.1 of the Civil Service Law. Information regarding transfer eligibility can be found on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than August 3, 2021** to the email address listed below. Please include the Box # (**Box FSE 1-Albany**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Sandra Mell
Box **FSE 1-Albany**
New York State Department of Financial Services

Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: Sandra.Mell@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov