



One State Street, New York, NY 10004

**An Equal Opportunity/Affirmative Action Employer**

**Announcement of Intention to Fill a Job Vacancy**

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**Director Financial Services Programs 2, SG-33  
(Assistant Director for Sustainability and Climate Initiatives)**

**Location: One State Street, New York City**

**Business Unit: Executive**

**Negotiating Unit: Professional, Scientific and Technical (PEF)**

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

**Salary: \$123,227 - \$148,904**

**Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment.**

**Appointment Status: Permanent**

**Appointment to this position is pending Division of Budget approval to fill.**

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The Department of Financial Services (DFS) is seeking candidates for the position of Director Financial Services Programs 2 (Assistant Director for Sustainability and Climate Initiatives) with a focus on developing and administering climate-related financial supervision programs for the financial services industry. The incumbent of this position will be part of the team guiding the Department's strategy on these issues and engage with industry.

The Sustainability and Climate Initiative works with all the divisions across DFS, including Banking, Insurance, Consumer Protection, and Research and Innovation, to integrate sustainability and climate considerations into DFS's programs.

DFS became the first U.S. financial regulator to join the Network for Greening the Financial System (NGFS), a leading international coalition of more than ninety central banks and financial supervisors dedicated to mobilizing the financial industry to address climate change. In addition, DFS is also a member of the Sustainable Insurance Forum (SIF), an international network of insurance supervisors seeking to find collaborative ways to help the global insurance industry meet the challenges posed by climate change. DFS is the first U.S. financial regulator to establish a holistic set of expectations on managing the financial risks from climate change across the insurance, banking and virtual currency industries.

Duties include, but are not limited to, the following:

- Works across the Banking, Insurance, Capital Markets, Research and Innovation, Consumer Protection and Financial Enforcement Divisions and the Office of General Counsel to develop and administer climate-related initiatives;
- Engages with DFS-regulated institutions and monitors their progress on managing climate-related financial risks;
- Develops and administers programs to support DFS-regulated institutions as they assess and manage climate-related financial risks;
- Develops and administers programs to support internal staff on climate-related financial supervision;
- Participates in and contributes to relevant national and international networks;
- Represents DFS at public events;
- Coordinates with other state and federal regulators;

- Provides ongoing advice and recommendations to DFS regarding additional and future directions DFS could pursue with regard to climate-related financial supervision;
- Develops initiatives to support climate resilience of communities through collaboration with the insurance and banking sectors;
- Stays abreast of trends in climate-related supervision, sustainable finance, climate resilience, and updating stakeholders and agency management on a periodic basis; and
- Other duties as assigned.

**Appointment method:**

Candidates must meet the minimum qualifications listed below in order to be eligible for appointment:

**Non Competitive:** A Bachelor's Degree in accounting, actuarial sciences, auditing, banking, business, business administration, business and technology, commerce, computer information systems, computer science, consumer sciences, criminal justice, cyber security, econometrics, economics, finance, financial administration, health, health administration, information systems, information systems engineering, information technology, internal controls, international or public affairs, law, market analysis, mathematics, public administration, public policy, risk management, statistics, taxation, or technology and eight (8) years of financial services experience with: 1) a regulated entity who has a regional, national and/or international presence; or 2) a governmental regulatory entity. This experience must include practical and technical knowledge about State, federal and/or international financial services laws, rules and regulations. Three (3) years of the experience must have been at a managerial level.

**Substitutions:** A J.D. or a Master's Degree may substitute for one (1) year of specialized experience; and a Ph.D. may substitute for two (2) years of specialized experience.

**Preferred Qualifications**

Two (2) years of experience in climate change, sustainability, ESG (environmental, social, and governance) investing, or impact investing.

**To Apply:** Interested qualified candidates must submit a resume and letter of interest **no later than July 31, 2021** to the email address listed below. Please include the Box # **(Box 10060)** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Ryan Taratus  
Box 10060  
New York State Department of Financial Services  
Office of Human Resources Management  
One Commerce Plaza, Suite 301  
Albany, NY 12257  
Email: NCE.Notifications@dfs.ny.gov  
Fax: (518) 402-5071

**All candidates that apply may not be scheduled for an interview**

**AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS**

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

***Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at [info@goer.ny.gov](mailto:info@goer.ny.gov).***