

**NYS REGISTERED MORTGAGE BROKER
APPLICATION / ANNUAL CERTIFICATION FOR INACTIVE STATUS**

Legal Name of Business: _____

Principal Address: _____

Phone Number: (____) _____ - _____ x _____

Declaration and Undertaking

Pursuant to Part 410.16 of the Superintendent's Regulations and Supervisory Procedure MB 105:

I, (name) _____, (title) _____
of the company listed above, hereby (*check only one box*):

- apply for inactive status
- certify that the company's mortgage broker operation has been and continues to be inactive

I promise to:

1. pay general assessment bills as provided in Section 17 of the New York Banking Law,
2. be available for examination at the discretion of the Superintendent,
3. file a written notification of intent to resume business at least 30 days prior to resuming business along with all original registration and branch certificates stamped inactive AND certificate of effective corporate surety bond coverage from the bond issuer,
4. promptly notify the Superintendent of any change in the company's address and telephone number according to instructions detailed in <http://www.dfs.ny.gov/banking/iambcmoa.htm>, and
5. file an annual certification on each anniversary of the initial inactive status approval date.

I subscribe under penalty of perjury that I am a principal owner duly authorized to submit this application and that the application and supporting documents do not contain any statement or omission of any material. I understand that making of false written statements in this application is punishable as a Class A Misdemeanor under Section 175.30 and/or Section 210.45 of the New York Penal Law.

Signature Date

For *initial* inactive status application, this form must be accompanied by all original mortgage broker and branch certificates and mailed to NYS Department of Financial Services – Mortgage Banking, One State Street, New York, NY 10004