



NEW YORK STATE DEPARTMENT of FINANCIAL SERVICES

**Criminal Investigations Unit
One State St.
New York, New York 10004**

LIFE SETTLEMENT PROVIDER 2020 ANNUAL SIU REPORT
NEW YORK BUSINESS ONLY

DUE DATE: MARCH 15, 2021

Date:

Provider Name:

License #:

Address:

City:

State:

Zip Code:

1) Number of life settlement applications received by Provider in 2020:

2) Number of life settlement applications declined by Provider in 2020:

3) Total face amount settled by Provider in 2020:

4) Total number of policies purchased in 2020:

5) Number of suspicious applications detected in 2020:

6) Number of IFBs reported to the NY Criminal Investigations Unit in 2020:

7) Public Awareness: Did the LSP participate in LISA's 2020 public awareness program?

Yes

No

If No, please complete the Annual Public Awareness questionnaire and return the questionnaire with this Annual SIU Report submission.

Life Settlement Provider Annual SIU Report Contact Person:

Phone number:

Email Address:

Executive Officer's Signature: