

NOTICE

By

OF INTENTION TO ESTABLISH AND MAINTAIN A TRUST OFFICE

Notice is hereby given of the intention of the above-named institution (the "Applicant") to establish and maintain a trust office pursuant to Section 131(4) of the New York Banking Law at

The principal office of the Applicant is located at:

Additional information concerning the proposal may be obtained from:

(name, telephone number, and e-mail address)

The following statements are made in support of the notice:

- (1) The Board of Directors of the Applicant has adopted a resolution authorizing this notice and designating the undersigned to submit it.
- (2) The Applicant is authorized by its charter and by the laws of its state of incorporation to exercise in such state any or all of the fiduciary powers that New York trust companies are authorized to exercise pursuant to Sections 100, 100-a, 100-b, 100-c, and 100-d of the [New York Banking Law](#).
- (3) The proposed activities of the office are as follows:
 - (4) The exercise of the fiduciary powers of the proposed office shall not exceed the powers authorized by (a) the Applicant's charter; (b) the laws of the Applicant's state of incorporation, and (c) Sections 100, 100-a, 100-b, 100-c, and 100-d of the New York Banking Law.
 - (5) The Applicant is authorized by its home state regulator to operate the proposed office (see enclosure).
 - (6) A New York bank or trust company is permitted to establish a trust office in the Applicant's home state under substantially the same terms and conditions as are permitted for the Applicant to establish a trust office in New York (see enclosure). (Please enclose relevant out-of-state statutes and/or counsel's opinion as to reciprocity. The Department reserves the right to require an opinion of counsel.)

(7) The name, title, telephone number, and e-mail address of an individual at the office of the Applicant's home state regulator who may be contacted concerning this proposal are as follows:

(8)(a) The Applicant has, or will have, the right to occupy the premises necessary for the proposed office by virtue of a lease (or an option to lease), a purchase agreement (or an option to purchase), or the following arrangement:

(b) No lease (or similar agreement) for the proposed premises will contain a clause prohibiting the landlord from renting space to another banking institution, in accordance with Section 674-a of the New York Banking Law.

(9) The proposed site has been appropriately zoned to permit the operation of the proposed office.

(10) Establishment of the proposed office does not conflict with any of the provisions of the New York State Historic Preservation Act.

(11) The proposed office is expected to become operational on or about

The undersigned hereby certifies that the above is true and correct.

(signature of authorized officer)

Date:

(type/print name and title)

Enclosures:

- _____ Letter from home state regulator confirming that there is no objection to the establishment of the proposed office
- _____ State law and/or reciprocity opinion