



NEW YORK STATE DEPARTMENT of FINANCIAL SERVICES

Criminal Investigations Unit - Insurance Frauds Bureau

One State St.

New York, New York 10004

LIFE SETTLEMENT PROVIDER 2019 ANNUAL SIU REPORT
NEW YORK BUSINESS ONLY

DUE DATE: MARCH 16, 2020

Date:

Provider Name:

License #:

Address:

City:

State:

Zip Code:

1) Number of life settlement applications received by Provider in 2019:

2) Number of life settlement applications declined by Provider in 2019:

3) Total face amount settled by Provider in 2019:

4) Total number of policies purchased in 2019:

5) Number of suspicious applications detected in 2019:

6) Number of IFBs reported to the NY Criminal Investigations Unit in 2019:

7) Public Awareness: Did the LSP participate in LISA's 2019 public awareness program?

Yes

No

If No, please complete the Annual Public Awareness questionnaire and return the questionnaire with this Annual SIU Report submission.

Life Settlement Provider Annual SIU Report Contact Person:

Phone number:

Email Address:

Signature: