

One State Street, New York, NY 10004
An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Job Vacancy

Financial Technology Attorney, NS (Exempt)

Location: One State Street, NYC

Business Unit: Office of General Counsel

Negotiating Unit: Management Confidential (M/C)

Please note that a change in negotiating unit may affect your salary, insurance, and other benefits.

Salary: Salary commensurate with experience.

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,026 annual downstate adjustment

Appointment Status: This is an appointment to a position in the exempt jurisdictional class.

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services (DFS) is seeking to fill the position of Financial Technology Attorney with significant experience in the emerging financial technology sector. Financial Technology (“fintech”) is evolving outside the traditional financial and banking system, largely driven by non-bank entities, including venture capital-backed fintech startups and emerging companies, as well as non-traditional providers. These entities are often experimenting in an unregulated environment. Fintech innovation changes a customers’ experience and expectations by promoting a client-centric and interactive approach to financial and banking services.

DFS seeks to evaluate existing rules to better address both the opportunities and challenges presented by new technologies, while simultaneously protecting consumers, markets, and investors. The selected candidate will assess risks from fintech innovation as they are identified and address them, while simultaneously promoting innovation and encouraging ongoing dialogue with the fintech industry. The candidate will examine existing regulations and draft proposals of new regulations to enable more effective supervision of the fintech sector. The ideal candidate will be able to analyze and compare the rapidly evolving domestic and global fintech legislative and regulatory frameworks, analyze legal questions arising under the Banking and regulatory laws relating to the financial industry, and effectively interact with state-chartered banks, licensed branches and agencies of foreign banking corporations, etc.

Qualifications:

Admission to the Bar of the State of New York and experience in the practice of law involving investigation and litigation of securities fraud and regulation of securities, commodities, and other business activities and products preferred. Demonstrates an in-depth knowledge in the fintech sector, financial markets, and has experience in the area of securities and investment products; risk assessment and cyber security. Candidates should possess excellent analytical, writing, and research skills.

Appointment method:

This is an appointment to a position in the exempt jurisdictional class. As such, the incumbent of this position would serve at the pleasure of the appointing authority.

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than January 31, 2020**, to the email address listed below. Please include (**Box ATT-FT-10135**) in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Denise Rotunda
Box ATT-FT-10135
New York State Department of Financial Services
Office of Human Resources Management
99 Washington Avenue, Suite 301
Albany, New York 12257
Email: nce.notifications@dfs.ny.gov
Fax: (518) 474-0873

Please note that not all applicants may be scheduled for an interview.

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job and the accommodation would not constitute an undue hardship on the operations of the agency.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Governor's Office of Employee Relations at (518) 474-6988 or via email at info@goer.ny.gov.