

New York State Department of Financial Services

ISSUED: March 26, 2018

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST COMPANIES, AGENTS, BROKERS & ADJUSTERS

The New York State Department of Financial Services has taken disciplinary action against the following licensees. Those categorized as stipulations have been agreed to by the licensee. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

STIPULATIONS

Region: Albany

LICENSEE	ADDRESS	PENALTY
David J. Jankowski (Broker and Life Broker)	148 Ward Road Mayfield, NY 12117	\$3,000 fine
Respondent pled guilty to Criminal Facilitation in the Fourth Degree, in violation of Penal Law Section 115.00, a class A misdemeanor. [Stipulation approved February 1, 2018.]		

Region: Buffalo

LICENSEE	ADDRESS	PENALTY
Blasdell-Springville Travel, Inc. (Agent)	3779 South Park Avenue Blasdell, NY 14219	\$500 fine
Roland A. Reusch (Agent and Sublicensee)	Same as above	
Respondents, after the expiration of Respondent Blasdell-Springville Travel, Inc.'s property/casualty agent's license, transacted insurance business as property and casualty agents in this State without a license. [Stipulation approved February 5, 2018.]		

Region: New York

LICENSEE	ADDRESS	PENALTY
Swett & Crawford Corp. (Broker, Excess Line Broker, & Reinsurance Intermediary)	32 Old Slip New York, NY 10005	\$6,500 fine
Curtis L. Biersch (Broker, Excess Line Broker, and Sublicensee)	Same as above	
Respondents, during the time period May 2013 through December 2014, transacted excess line business insurance business in the State of New York and placed impermissible policies in the excess line market in violation of Section 2117 of the Insurance Law and Regulation 41 [11 NYCRR 27.11]. [Stipulation approved February 1, 2018.]		

LICENSEE	ADDRESS	PENALTY
Arthur Sinuk (Broker-license application pending and Life Broker)	301 East 38th Street New York, NY 10016	License Revoked
Respondent was convicted of Insurance Fraud in the Third Degree. [Stipulation approved February 14, 2018.]		

LICENSEE	ADDRESS	PENALTY
United American Title Agency LLC (Title Agent)	101-05 Lefferts Boulevard S. Richmond Hill, NY 11419	\$2,100 fine
George F. Russo (Sublicensee)	Same as above	
Respondents acted as insurance producers in the name of Respondent United American Title Agency LLC in the State of New York while Respondent United American Title Agency LLC had no license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 26, 2018.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Meadowbrook Inc. (Agent, Broker and Excess Line Broker)	26255 American Drive Southfield, MI 48034	\$1,500 fine
Kenn R. Allen (Agent, Broker, Excess Line Broker and Sublicensee)	Same as above	
<p>Respondents failed to notify the Department within thirty days that they were the subjects of an administrative action by the State of Nevada. Respondents failed to disclose on the renewal application for Respondent Meadowbrook Inc.'s excess line broker's license the above administrative action by the State of Nevada. [Stipulation approved January 25, 2018.]</p>		

LICENSEE	ADDRESS	PENALTY
PA Liberty Financial Services (Agent)	1910 Cochran Road Manor Oak Two Pittsburgh, PA 15220	\$3,500 fine
Kevin V. Heher (Agent, Broker, Life Broker and Sublicensee)	Same as above	
<p>Respondents acted as property/casualty insurance agents in the name of Respondent PA Liberty Financial Services in the State of New York after Respondent PA Liberty Financial Services' license to act as an agent pursuant to Section 2103(b) of the Insurance Law expired. Additionally, Respondents failed to disclose in Respondent PA Liberty Financial Services' relicensing application for an agent's license that Respondent PA Liberty Financial Services acted as a property/casualty insurance agent in the State of New York after its license to act as an agent pursuant to Section 2103(b) of the Insurance Law expired, and Respondents failed to disclose an administrative action in another state in Respondent PA Liberty Financial Services' renewal application for an agent's license. Additionally, Respondents used a name that was not approved for use by Respondents in conducting business as insurance producers in the State of New York. [Stipulation approved January 8, 2018.]</p>		

LICENSEE	ADDRESS	PENALTY
Walnut Advisory Corporation (Broker and Excess Line Broker)	40 Technology Drive Warren, NJ 07059	\$1,000 fine
Ariel M. Hessing (Sublicensee)	Same as above	
Respondents acted as excess line insurance brokers in the name of Respondent Walnut Advisory Corporation in the State of New York while Respondent Walnut Advisory Corporation had no license to act as an excess line broker pursuant to Section 2105 of the Insurance Law. [Stipulation approved January 18, 2018.]		

LICENSEE	ADDRESS	PENALTY
Lynda L. Hopkins (Broker and Excess Line Broker)	c/o Altapro Insurance Services 14141 Farmington Road Livonia, MI 48154	\$500 fine
Respondent acted as an excess line insurance broker in the State of New York while she had no license to act as an excess line broker pursuant to Section 2105 of the Insurance Law. [Stipulation approved January 25, 2018.]		

LICENSEE	ADDRESS	PENALTY
Warren R. Thompson (Agent – License Expired)	1317 Quiet Cove Court Gulf Breeze, FL 32563	License Revoked
Respondent failed to disclose on his original application for an agent’s license that he then had a pending arbitration proceeding. Respondent also failed to notify the Department within thirty days that he was the subject of administrative actions by the States of Florida and Louisiana. [Stipulation approved February 5, 2018.]		

LICENSEE	ADDRESS	PENALTY
Donald Wesson (Agent)	3024 S. Washington Street Tacoma, WA 98409	\$500 fine
Respondent failed to notify the Department within thirty days that Respondent was the subject of an administrative action by the State of California. [Stipulation approved January 29, 2018.]		