

New York State Department of Financial Services

ISSUED: March 6, 2017

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST COMPANIES, AGENTS, BROKERS & ADJUSTERS

The New York State Department of Financial Services has taken disciplinary action against the following licensees. Those categorized as stipulations have been agreed to by the licensee. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

AGENT AND BROKER HEARINGS

LICENSEE	ADDRESS	PENALTY
Hilario Gilberto Morales (Agent)	13430 N Black Canyon Highway Phoenix, AZ 85029	License Revoked
Respondent failed to report to the Superintendent within thirty days of the final disposition of the matter that the State of Wisconsin, Office of the Commissioner of Insurance had denied Respondent's application for an insurance license. In connection with the Department's investigation, Respondent failed to respond to the Department's letters requesting information and documentation regarding the aforementioned matters. Respondent also failed to notify the Superintendent within thirty days of his change in his business address. [Order issued January 27, 2017.]		

STIPULATIONS

Region: New York

LICENSEE	ADDRESS	PENALTY
Leonis Lamoru (Agent – License Pending)	14 Penn Plaza New York, NY 10122	\$1,500 fine
Respondent, after the expiration of his agent's license, transacted insurance business in this State without a license. Respondent also failed to disclose, on his relicensing application for an agent's license, that he transacted the business of insurance without a license as stated above. [Stipulation approved January 13, 2017.]		

Region: Warren

LICENSEE	ADDRESS	PENALTY
Frederick E. Monroe (Agent – License Expired)	3 Cobblestone Drive Queensbury, NY 12804	License Revoked
Respondent was convicted, upon plea of guilty, of four felonies. [Stipulation approved January 13, 2017.]		

Region: Westchester

LICENSEE	ADDRESS	PENALTY
Giorgio E. Gazzola t/b/a New York Litigation Services (Independent Adjuster)	123 Catskill Avenue Yonkers, NY 10704	\$500 fine
Respondent failed to notify the Superintendent within 30 days of the initial pretrial hearing date that he was the subject of a criminal prosecution. [Stipulation approved January 13, 2017.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Sharra Carvalho (Agent)	430 M Street Sw, #110 Washington, DC 20024	\$750 fine
Respondent failed to disclose in her original and renewal applications for an agent's license that she was convicted of a misdemeanor. [Stipulation approved January 20, 2017.]		

LICENSEE	ADDRESS	PENALTY
CH Insurance Services LLC (Agent – License Pending)	111 Pine Street San Francisco, CA 94111	\$1,500 fine
Kathryn Harrison (Proposed Sublicensee)	Same as above	
Brian Thomas Corley (Agent)	Same as above	
Respondents failed to disclose in license applications submitted to the Department that Respondent Brian Thomas Corley was fined by the National Association of Securities Dealers. [Stipulation approved July 29, 2016.]		

LICENSEE	ADDRESS	PENALTY
Michael J. Davis (Agent)	80 South 8th Street Minneapolis, MN 55402	\$1,500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that the Minnesota Commissioner of Commerce fined Respondent and ordered that Respondent cease and desist from engaging in certain conduct. Respondent also failed to disclose the Minnesota fine and cease and desist order in his renewal application for an agent's license. [Stipulation approved January 27, 2017.]		

LICENSEE	ADDRESS	PENALTY
Peninsula Excess Insurance Brokers Inc. (Broker)	1055 Westlakes Drive Berwyn, PA 19312	\$750 fine
Judith C. Fobes (Agent, Broker, and Sublicensee)	Same as above	
Respondents failed to disclose in Respondent Peninsula's relicensing application for a broker's license that Respondent Peninsula was fined by the Massachusetts Division of Insurance. [Stipulation approved January 27, 2017.]		

LICENSEE	ADDRESS	PENALTY
DailyFeats Inc. (Agent)	101 Tremont Street Boston, MA 01108	\$500 fine
Robert C. Gaydos (Sublicensee)	Same as above	
Respondents failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent DailyFeats Inc. was fined by the Massachusetts Division of Insurance. [Stipulation approved January 13, 2017.]		

LICENSEE	ADDRESS	PENALTY
Brett A. Machtig (Agent)	9925 Little Road Bloomington, MN 55437	\$500 fine
Respondent failed to notify the Department within thirty days that he was the subject of an administrative action by the State of Minnesota. [Stipulation approved January 27, 2017.]		

LICENSEE	ADDRESS	PENALTY
Mack Mack & Waltz Insurance Agency (Broker)	1211 S Military Trail, Suite 100 Deerfield Beach, FL 33442	\$750 fine
Respondent failed to disclose in Respondent's original application for a broker's license that Respondent was assessed a fine by the State of Florida. [Stipulation approved January 13, 2017.]		

LICENSEE	ADDRESS	PENALTY
Foxmont Consulting Inc. (Title Insurance Agent)	2000 Cliff Mine Road Park West Two Pittsburgh, PA 15275	\$1,250 fine
James R. Wiesner (Sublicensee)	Same as above	
Respondents failed to disclose in Respondent Foxmont's original application for a title insurance agent's license that Respondent Foxmont was fined by the Florida Department of Financial Services. Respondents also failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent Foxmont was fined by the Maryland Insurance Administration. [Stipulation approved January 20, 2017.]		