

New York State Department of Financial Services

ISSUED: June 18, 2019

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST LICENSEES OR REGISTRANTS UNDER THE INSURANCE LAW

The New York State Department of Financial Services has taken disciplinary action against the following licensees and registrants. Those categorized as stipulations or consent orders have been agreed to by the licensee or registrant. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

HEARINGS

LICENSEE	ADDRESS	PENALTY
Bryan Davis (Agent)	115 Tabor Road Oak Ridge, TN 37830	License Revoked
Respondent had his license to sell insurance suspended by the State of Tennessee, Department of Commerce & Insurance, Division of Insurance. Additionally, Respondent failed to report the Tennessee suspension to the Superintendent within 30 days of the final disposition of the matter, and failed to respond to the Department's letters and electronic mail. [Order issued November 5, 2018.]		

STIPULATIONS/CONSENT ORDERS

Region: Mid-Island

LICENSEE	ADDRESS	PENALTY
Gavin Campbell Fee (Agent)	c/o Fidelity Investments 37 West Jericho Turnpike Huntington Station, NY 11749	\$1,750 fine
Respondent failed to disclose in his original application for an agent's license and in two renewal applications for an agent's license that he was convicted of a marijuana possession misdemeanor in Wayne County, Commonwealth of Pennsylvania. Respondent also changed his business address and failed to notify the Department within 30 days of the change. [Stipulation approved April 25, 2019.]		

Region: Nassau County

LICENSEE	ADDRESS	PENALTY
Danny Campos Lanca (Agent)	738 Clifford Court Baldwin, NY 11510	\$2,250 fine
Respondent failed to disclose in his original and renewal applications for an agent's license that he was convicted of misdemeanors in County Court, Marion County, Florida. [Stipulation approved April 15, 2019.]		

Region: New York

LICENSEE	ADDRESS	PENALTY
James S. Ho (Agent)	50-27 193 rd Street Fresh Meadows, NY 11365	\$500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent was the subject of administrative action taken by the New Jersey Department of Banking and Insurance. [Stipulation approved April 15, 2019.]		

LICENSEE	ADDRESS	PENALTY
San Kwong Lee (Agent and Life Broker)	95 Madison Street New York, NY 10002	\$1,500 fine
Respondent submitted inconsistent explanations to the Department regarding a false document that was submitted to an insurance company. [Stipulation approved April 30, 2019.]		

LICENSEE	ADDRESS	PENALTY
Urban Insurance Brokerage Inc. (Broker and Life Broker)	217-01 Merrick Boulevard, Springfield Gardens, NY 11413	\$2,500 fine
Lucia Sharplis-Esprit (Agent, Broker, Life Broker, and Sublicensee)	Same as above	
Respondents failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent Urban Insurance Brokerage Inc.'s license application was denied by the Connecticut Insurance Department. Respondents also failed to disclose the Connecticut license denial in Respondent Urban Insurance Brokerage Inc.'s renewal		

application for a broker's license and renewal application for a life broker's license. Respondents also commingled insurance premium fiduciary funds with business operating expense funds. [Stipulation approved April 15, 2019.]

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Garrett Matthew Barbera (Agent and Broker)	Garrett Barbera Insurance Agency 17682 Beach Boulevard, Suite 202 Huntington Beach, CA 92647	\$500 fine
Respondent failed to report to the Superintendent within thirty days of the final disposition of the matter that the California Department of Insurance took administrative action against Respondent. [Stipulation approved April 18, 2019.]		

LICENSEE	ADDRESS	PENALTY
Sean Murphy (Agent)	460 SW 144 th Avenue Beaverton, OR 97006	\$500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent's request for licensure was denied by the Indiana Department of Insurance. [Stipulation approved April 18, 2019.]		

LICENSEE	ADDRESS	PENALTY
Troy L. Wood (Agent)	4900 Millsprings Court Arlington, TX 76017	\$1,700 fine
Respondent failed to report within 30 days of the final disposition of the matter that Respondent was the subject of an administrative action taken by the Missouri Department of Insurance and Respondent failed to disclose in his original application for an agent's license that he was the subject of administrative actions taken by the California Department of Insurance, the Indiana Department of Insurance, and the Louisiana Department of Insurance. [Stipulation approved May 8, 2019.]		