

New York State Department of Financial Services

ISSUED: April 15, 2019

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST LICENSEES OR REGISTRANTS UNDER THE INSURANCE LAW

The New York State Department of Financial Services has taken disciplinary action against the following licensees and registrants. Those categorized as stipulations or consent orders have been agreed to by the licensee or registrant. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

STIPULATIONS/CONSENT ORDERS

Region: New York

LICENSEE	ADDRESS	PENALTY
Gilbert L. Walton (Agent and Broker)	2451 White Plains Road Bronx, NY 10467	\$13,500 fine
Respondent allowed Denise Michelle Jones to complete applications for State Farm Insurance Company life insurance, applications for State Farm health insurance and an application for State Farm renters insurance using Yanira J. Torres's electronic identification after Ms. Jones's agency appointment was terminated by State Farm and Ms. Jones's State Farm electronic identification was deactivated. Respondent also allowed Ms. Jones to sign his name on the aforementioned applications for life insurance as a witness to the signature of the proposed insured. Respondent also offered to pay Ms. Torres \$1,000.00 to write him a letter stating that no misrepresentation was made on the aforementioned applications for insurance. [Stipulation approved February 28, 2019.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Thomas A. Dus (Agent)	c/o Skysurance Agency Inc. 44050 Russia Road Elyria, OH 44035	\$1,250 fine
Respondent failed to disclose in his renewal application for an agent's license that he was convicted of a crime in Lakewood Municipal Court, Cuyahoga County, State of Ohio. Respondent also failed to report to the Superintendent within 30 days of the initial pretrial hearing date that he was the subject of the aforementioned criminal prosecution. [Stipulation approved February 5, 2019.]		

LICENSEE	ADDRESS	PENALTY
Sam Shurot (Agent)	1066 W. Spine Tree Avenue Queen Creek, AZ 85140	\$750 fine
Respondent failed to disclose in his original application for an agent's license that he was convicted of a crime. Respondent also failed to timely respond to Departmental investigatory letters and thereby hampered and impeded the Department's investigation. [Stipulation approved February 28, 2019.]		

LICENSEE	ADDRESS	PENALTY
German J. Toro (Agent and Broker – Licenses Pending)	1252 Patterson Plank Road Secaucus, NJ 07094	\$3,000 fine
Respondent failed to disclose on four original applications for his insurance licenses that he was the subject of an administrative action by the State of New Jersey. [Stipulation approved February 14, 2019.]		