NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES · APPLICANT INFORMATION FORM

1. AGENCY INFORMATION

The ORI below is for applications related to Bank and Trust Co, Savings Bank, Savings and Loan, Credit Union, Safe Deposit Co, Investment Co, Limited Purpose Trust Co, Mutual Holding Co, Mutual Trust Co or Investment Co. Make sure you have the right ORI number for your application.

ORI: **NY921970Z**   **Contributing Division:** **RESEARCH, APPLICATIONS & TECHNICAL ASSISTANCE**

Below please Indicate the specific industry type under which your application is being filed:

Reason for fingerprints:

2. APPLICANT INFORMATION

Complete the information below and visit HTTP://WWW.L1ENROLLMENT.COM/ or call 877-472-6915 to schedule an appointment for fingerprinting. Print this form and bring it, and your identification (see below), to your appointment.

Check One:	☐ New Submission	☐ Re-Submission

Name of Applicant:

Alias/Maiden Name:

Street Address:

City, State and Zip:

Date of Birth *(mm/dd/yyyy):*	Country of Birth *(If U.S., enter State):*

Country of Citizenship:	Sex: ☐ Male ☐ Female

Below please provide information about your physical appearance. This information will be verified at your appointment.

Height: Ft. In.	Weight: Lbs.	Hair Color:	Eye Color:

Race:	FOR RACE (LEFT) ENTER ONE OF THE FOLLOWING LETTERS:	A-ASIAN/PACIFIC ISLANDER B-FOR BLACK I-NATIVE AMERICAN INDIAN	U-UNKNOWN/ INDETERMINABLE W-WHITE	Ethnicity:	☐ Hispanic ☐ Non-Hispanic

3. VERIFICATION OF IDENTIFICATION

Applicant must present two (2) forms of ID, at least one of which must be a Valid Photo Identification (Section A).
Please check the type of identification presented to you by the applicant and mark the appropriate boxes below:

SECTION A: VALID PHOTO IDENTIFICATION	☐ U.S. Passport (unexpired or expired)	☐ Unexpired foreign passport
	☐ Permanent Resident card	☐ Alien registration receipt card
	☐ Driver's license or photo ID card issued by U.S. state or territory	☐ Unexpired Employment Authorization (Form I-766, I-688, I688A or B with photo)
	☐ Photo ID Card issued by federal, state, or local government	☐ School or college ID card with photo

Details from Valid photo ID in Section A:

Description:	ID Number:

SECTION B: VALID SUPPLEMENTARY IDENTIFICATION	☐ Voter registration card	☐ U.S. Military card or draft record
	☐ Military dependent ID card	☐ Coast Guard Merchant Mariner card
	☐ Native American tribal document	☐ Canadian driver's license
	☐ U.S. Social Security card	☐ Original or certified copy of a birth certificate issued by an authorized U.S. agency with official seal
	☐ Certification of Birth Abroad issued by U.S. Department of State	☐ U.S. Citizen ID card (Form I-7)

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