|  |  |  |
| --- | --- | --- |
| A picture containing text, porcelain, gear  Description automatically generated | | |
|  |  |  |

GENERAL ACCOUNT VALUATION FILING CHECK-LIST

December 31, 2023

Name of Domestic Company \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Please confirm with a “YES”, “NO” or “NOT APPLICABLE” that instructions have been followed.

1. VALUATION MATERIAL:

a. Does a cover letter accompany the valuation submission? \_\_\_\_\_\_\_

b. Is there a complete index of all material which contains electronic file references? \_\_\_\_\_\_\_

c. Do detail listings contain page numbers, column headings, and the Company name? \_\_\_\_\_\_\_

d. Is detail support at the cell level for the items specified in Item I.9 of the General Requirements

provided? \_\_\_\_\_\_\_

e. Do the summaries explicitly show the addition on one summary sheet when different

sources are added together? \_\_\_\_\_\_\_

f. Are translations of valuation codings as well as descriptions of reserve basesand plans included? \_\_\_\_\_\_\_

2. Has the Company provided an explanation for all instances where the Analysis of Valuation Reserves

does not agree with the corresponding Exhibits or Schedules of the Annual Statement? \_\_\_\_\_\_\_

3. EDP submission verification: Please complete the following table: (Based on Criteria in Item III of Instructions for Filing Valuations)

Gross Reserves as of 12/31/2023 Was an EDP System Filing Made?

a. Traditional Life \_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_

b. Interest Sensitive Life \_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_

c. Structured Settlement & Immediate Annuities \_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_

d. Accumulation-Type Annuities \_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_

4. Does the Company have Group Life Insurance inforce? \_\_\_\_\_\_\_

If yes, how many Group Life Insurance Questionnaires are included in the submission? \_\_\_\_\_\_\_

5. Does the Company have Interest Sensitive Life Insurance inforce? \_\_\_\_\_\_\_

If yes, how many Interest Sensitive Life Questionnaires are included in the submission? \_\_\_\_\_\_\_

6. Does the Company have Structured Settlement and Fixed Payment Annuities inforce? \_\_\_\_\_\_\_

If yes, how many Structured Settlement and Fixed Payment Annuity Questionnaires

are included in the submission? \_\_\_\_\_\_\_

7. Does the Company have Accumulation-Type Annuities inforce? \_\_\_\_\_\_\_

If yes, how many Accumulation-Type Annuity Questionnaires are included in the submission? \_\_\_\_\_\_\_

8. Does the Company have Accident & Health business inforce? \_\_\_\_\_\_\_

If yes, how many A&H Questionnaires are included in the submission? \_\_\_\_\_\_\_

9. Has the Company sent a filing pursuant to Regulation 126 directly to the Reserve Section of the Life Bureau? \_\_\_\_\_\_\_

10. a. Does the Company rely on an aggregate basis per Section 4217(f)(1) for Life Insurance? \_\_\_\_\_\_\_

b. Does the Company rely on the aggregate basis per Section 4217(f)(2) for Annuities? \_\_\_\_\_\_\_

c. Does the Company rely on the aggregate basis per Section 4217(f)(3) for A&H Insurance? \_\_\_\_\_\_\_

11. Has a signed copy of the 2023 Annual Statement been submitted directly to the Life Bureau, Reserve

Section? \_\_\_\_\_\_\_

12. If the Company has Separate Account business, has the Company included a distinct filing for such? \_\_\_\_\_\_\_

13. Has the Company verified the accuracy and completeness of items 1 - 12 above? \_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Appointed Actuary Date

CHKLST