

New York State Department of Financial Services

ISSUED: August 9, 2022

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES TAKES DISCIPLINARY ACTIONS AGAINST LICENSEES OR REGISTRANTS UNDER THE INSURANCE LAW

The New York State Department of Financial Services has taken disciplinary action against the following licensees and registrants. Those categorized as stipulations or consent orders have been agreed to by the licensee or registrant. Department actions that result from Department hearings are subject to judicial review and possible stay of enforcement.

STIPULATIONS/CONSENT ORDERS

Region: Elmira

LICENSEE	ADDRESS	PENALTY
Blakeslee Enterprises, LLC (Limited Rental Agent)	1240 Hinging Post Road Ithaca, NY 14850	\$2,500 fine
David Blakeslee (Sublicensee)	Same as above	
Respondents acted as insurance producers in the name of Respondent Blakeslee Enterprises, LLC in the State of New York while Respondent Blakeslee Enterprises, LLC had no license issued and in force pursuant to the provisions of the Insurance Law. [Stipulation approved January 26, 2022.]		

Region: Mid-Island

LICENSEE	ADDRESS	PENALTY
Brian M. Travers (Agent)	25 Windham Lane Ronkonkoma, NY 11779	License Revoked
Respondent failed to pay state income tax. Respondent failed to disclose on his Supplemental application to add a line to his existing agent's license and on his renewal application for an agent's license the aforementioned outstanding income tax liability. Respondent failed to disclose on his Supplemental application to add a line to his existing agent's license that he was the subject of an administrative action by the Financial Industry Regulatory Authority. Respondent failed to provide a substantive response to Department investigatory letters, thereby hampering and impeding the Department's investigation. [Stipulation approved June 14, 2022.]		

Region: New York

LICENSEE	ADDRESS	PENALTY
Justin Juwan Savage (Agent)	2915 Dwight Avenue Far Rockaway, NY 11691	\$3,500 fine
While an agent appointed by an insurance company, Respondent added an unrelated driver to three automobile insurance policies, which resulted in the insurance company terminating Respondent's appointment for cause. Respondent failed to timely respond to three Department investigatory letters, thereby hampering and impeding the Department's investigation. Respondent provided a misleading statement to the Department regarding the reason for the termination of his appointment by an insurance company. [Stipulation approved June 23, 2022.]		

Region: Out of State

LICENSEE	ADDRESS	PENALTY
Adrian Arriaga (Agent)	413 Vista Way Drive Saginaw, TX 76179	\$500 fine
Respondent failed to report to the Superintendent within thirty days of the final disposition of the matter that Respondent was the subject of administrative action taken by the California Department of Insurance. [Stipulation approved June 7, 2022.]		

LICENSEE	ADDRESS	PENALTY
Colin G. Daly (Broker and Excess Line Broker)	5550 Pemberton Drive Greenwood Village, CO 80121	\$500 fine
Respondent failed to report to the Superintendent within 30 days of the final disposition of the matter that Respondent was the subject of administrative action taken by the Louisiana Department of Insurance. [Stipulation approved June 7, 2022.]		

LICENSEE	ADDRESS	PENALTY
April Travel Protection (Agent)	11900 Biscayne Bay Boulevard Miami, FL 33181	\$27,500 fine
Janet Lynn Garces (Agent and Sublicensee)	Same as above	
<p>During the approximate period July 2018 through February 2020, Respondent April Travel Protection sold numerous travel insurance policies without having a license issued and in force and paid commissions to unlicensed entities for acting as insurance agents; Respondent April Travel Protection failed to report to the Superintendent within thirty days of the final disposition of the matter an administrative action taken against it by the Virginia State Corporation Commission; Respondent Janet Lynn Garces failed to report to the Superintendent within thirty days of the final disposition of each matter an administrative action taken by the State of Louisiana and an administrative action taken by the State of Wisconsin; Respondents failed to disclose in a license application three administrative actions taken by the State of Louisiana, the State of South Dakota, and the State of Virginia, and Respondent Lynn Garces failed to disclose an administrative action taken by the State of Louisiana in her renewal application for an agent's license. [Stipulation approved June 14, 2022.]</p>		

LICENSEE	ADDRESS	PENALTY
Risk Placement Services Inc. (Agent, Broker, Excess Line Broker and Reinsurance Intermediary)	2850 Golf Road, 6th Floor Rolling Meadows, IL 60008	\$108,875 fine
Steven Levin (Broker, Excess Line Broker, and Sublicensee)	Same as above.	
<p>Respondents, for the time period January 2017 to December 2020 failed to timely file documents with the excess line association for recording and stamping in violation of New York Insurance Law Section 2118(b)(1). [Consent order approved June 8, 2022.]</p>		

LICENSEE	ADDRESS	PENALTY
Alec Seow (Agent)	1824 Grantham Drive Wellington, FL 33414	\$500 fine
<p>Respondent failed to report to the Superintendent within thirty days of the final disposition of the matter that Respondent was the subject of administrative action taken by the Louisiana Department of Insurance. [Stipulation approved June 7, 2022.]</p>		