



NEW YORK STATE
DEPARTMENT *of*
FINANCIAL SERVICES

Andrew M. Cuomo
Governor

Maria T. Vullo
Superintendent

CERTIFICATION

I, Maria T. Vullo, Superintendent of Financial Services, do hereby certify that the attached new Part 500 of Title 23 of the Official Compilation of Codes, Rules and Regulations of the State of New York, to take effect upon publication in the State Register, has been duly adopted by me on the date set forth below pursuant to authority vested in the Department of Financial Services by Sections 102, 201, 202, 301, 302 and 408 of the Financial Services Law.

The notice of proposed rule making for this amendment was published in the State Register on September 28, 2016 under ID No. DFS-39-16-00008-P. A notice of revised proposed rule making for this amendment was published in the State Register on December 28, 2016 under ID No. DFS-39-16-00008-RP. No other publication of prior notice was required by statute.

Date: February 13, 2017

A handwritten signature in blue ink, appearing to read "Mario T. Vullo", written over a horizontal line.

Mario T. Vullo
Superintendent of Financial Services