



NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES
MONTHLY REPORT OF ASSETS DEPOSITED BY
BRANCHES/AGENCIES OF FOREIGN BANKS
PURSUANT TO SECTION 202-b(1) OF THE NEW YORK STATE BANKING LAW

BRANCH/AGENCY NAME

Report submitted for 5th business day of _____, 20

CALCULATION OF LIABILITIES REQUIRING COVER (see below):..... (\$ THOUSANDS)

- Total liabilities (inclusive of the IBF) 1st Wednesday of previous month \$ _____
- Total liabilities (inclusive of the IBF) 2nd Wednesday \$ _____
- Total liabilities (inclusive of the IBF) 3rd Wednesday \$ _____
- Total liabilities (inclusive of the IBF) 4th Wednesday \$ _____
- Total liabilities (inclusive of the IBF) 5th Wednesday (if applicable) \$ _____

Average of previous month Wednesday total liabilities \$ _____(1)

Section 322.1(a) of the Superintendent's Regulations prescribes that foreign branches/agencies **shall** keep on deposit **an amount equal to the greater** of: 1) **One percent** of total liabilities, **exclusive of** amounts due and other liabilities to other offices, agencies, branches and wholly owned subsidiaries and self-liquidating liabilities arising out of qualified financial contracts as defined in Section 618-a(2)(e) of the Banking Law; OR, 2) **\$2,000,000**.

CALCULATION: 1% of (1) = \$ _____ (Minimum of \$2 million)

ASSETS DEPOSITED (Using haircut values as shown on the Asset Pledge List) ¹

- At FRB ² \$ _____
- At other depositories (correspondent banks) \$ _____
- TOTAL PLEDGED** \$ _____

NOTE: The required pledge amount will be in effect from the 5th business day of the month to the 5th business day of the following month.

The report is required to be filed for each calendar month **WITHIN FIVE BUSINESS DAYS** of the end of the month with:

Copies of all monthly reports are to be retained with records of the branch/agency until the next examination and are to be made available to our examiners for review.

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES
ONE STATE STREET
NEW YORK, NEW YORK 10004
ATT: FOREIGN AND WHOLESALE BANKS

If there are any questions regarding the report, please contact Foreign and Wholesale Banks at: **212-709-1557**

Prepared by: _____

Approved by: _____

Phone _____

Title _____

¹ Assets deposited must be valued at the lower of principal or adjusted market value (using haircuts as noted on the Asset Pledge List).

² Include only those amounts subject to the supplementary deposit agreement signed with Federal Reserve Bank and held in a special designated account, or held by a passthrough correspondent in a special designated account