

NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

FINANCIAL GUARANTY FORM FILING COMPLIANCE QUESTIONNAIRE

COMPANY		Co. File No.	
Company Contact:		Phone Number:	
E-Mail Address:			

Instructions: All applicable items must be answered. Responses in the shaded area indicate non-compliance with sections of Article 69 of the Insurance Law. Form, page and paragraph references that bring the submission into compliance must be included. Failure to complete all items, or responses in the shaded area, will result in this filing being returned without further review.

I. FINANCIAL GUARANTY INSURANCE

a. Does the policy contain a provision that there shall be no acceleration clause due under the policy unless such acceleration clause is at the sole option of the insurer? [§6905(a)]

YES <input type="checkbox"/>	NO <input type="checkbox"/>
YES <input type="checkbox"/>	NO <input type="checkbox"/>

b. Does the policy clearly disclose that the policy is not covered by the Property/Casualty Insurance Security Fund [§6909]

NOTE: All citations in Brackets are to the applicable sections of Article 69 of the Insurance Law.