NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

Checklist for the Filing of 2017 Individual Premium Rates

For On-Exchange Plans and Off-Exchange Plans

1. Under which Section of the New York State Insurance Law is this filing being made? (3231(e)(1)/4308(c) or 3231(d)/4308(b)) _______
2. For filings made pursuant to 3231(e)(1)/4308(c):
    a. Did the Company submit a combined filing for "On" and "Off" Exchange rates per the instructions along with a separate form filing that both contain cross references to each other? _______
    b. For Individual filings, do the Exhibits only contain Individual plan/experience data per the instructions? _______
    c. For Small Group filings, do the Exhibits only contain Small Group plan/experience data per the instructions? _______
3. Does this filing introduce any new plans (i.e., new 14 digit HIOS IDs)? _______
4. Has the Company provided two versions (i.e., PDF and Excel) of all rate filing Exhibits? _______
5. Do the values in Line 10A of Exhibit 18 match the totals in column 14.7 of Exhibit 17 for the applicable 2014 Market Segments? _______
6. Do the values in Line 10B of Exhibit 18 match the totals in column 14.3 of Exhibit 17 for the applicable 2014 Market Segments? _______
7. Confirm that the 3/11/2016 version of rate filing exhibits were utilized in this filing (Yes/No: Respond "Yes" to confirm). _______
8. With regard to the "Rate Review Detail" screen in SERFF:
    a. Was it completed in a manner consistent with 2016 premium rate filings? _______
    b. Do the "Average" percentages look reasonable (e.g., do they fall between "Minimum" and "Maximum" percentages? _______
    c. Do the "Minimum" and "Maximum" values appear reasonable in light of changes that are being proposed? _______
    d. Are the "Minimum", "Maximum" and "Average" values stated on a PMPM basis (as opposed to annualized)? _______
    e. Are all items that are not applicable left blank (i.e., Items that are not applicable should be left blank)? _______
    f. Has the "Requested Rate Period" data fields been populated with non-zero values? _______
9. Is the information presented in Exhibit 18 provided at the HIOS SCID level (i.e., are there 14 digits in the HIOS ID?) _______
10. Does Exhibit 23 contain rates for all plans as opposed to the "Base" plan as requested? _______
11. Does the Company's claim experience for 2015 include exactly two months of run-out? _______
12. Is the rate manual included per our instructions? _______

Name of the Chief Actuary responsible for this filing: ___________________________________
Signature: ___________________________________
Date: ___________________________________
E-mail Address: ___________________________________
Phone Number: ___________________________________