

4. BILLING CONTACT (OPTIONAL)

If you choose to designate a separate Billing Contact to receive bills, provide the following information. Do not complete this Item if the Billing Contact is the same as the Overall Contact.

- Mr.
- Ms.

First Name Middle Name Last Name

Individual's Title in Company E-mail Address

Address City State Zip Code

() - Ext. () - Ext.
Phone Number Fax Number

5. EXAMINATION CONTACT (OPTIONAL)

If you choose to designate a separate Examination Contact to coordinate examinations by the Department, provide the following information. Do not complete this Item if the Examination Contact is the same as the Overall Contact.

- Mr.
- Ms.

First Name Middle Name Last Name

Individual's Title in Company E-mail Address

Address City State Zip Code

() - Ext. () -
Phone Number Fax Number

B. GENERAL BUSINESS INFORMATION

1. ALTERNATE BUSINESS NAMES

For the reported calendar year, provide a list of **all** names (other than what is listed in Item A-1), including d/b/a, assumed, fictitious, and other names under which the Registrant conducted mortgage servicing business on 1 - 4 family, residential properties in New York State.

Attach additional pages as necessary

2. OFFICES

For the reported calendar year, provide the addresses of **all** locations (other than what is listed in Item A-1) at which the Registrant conducted mortgage servicing business on 1 - 4 family, residential properties in New York State. Also provide the full name, phone number, date of birth, and social security number of the branch manager or other person in charge for each location.

Attach additional pages as necessary

Address _____ City _____ State _____ Zip Code _____
 Mr.
 Ms.

 Branch Manager/Person in Charge (First Name, Middle Name, Last Name) Social Security Number _____
 _____ - _____ - _____ (_____) _____ - _____ Ext. _____
 Date of Birth (mm-dd-yyyy) Office Phone Number

Address _____ City _____ State _____ Zip Code _____
 Mr.
 Ms.

 Branch Manager/Person in Charge (First Name, Middle Name, Last Name) Social Security Number _____
 _____ - _____ - _____ (_____) _____ - _____ Ext. _____
 Date of Birth (mm-dd-yyyy) Office Phone Number

3. GENERAL INFORMATION

Mark the appropriate box for each question

What is the Registrant's present legal business structure?

Sole Proprietorship Partnership Corporation LLC Not-For-Profit Corp

Has this structure changed since the previously reported calendar year? YES NO

Is the Registrant a publicly traded company? YES NO

Is 10% or more of the Registrant owned, either directly or indirectly, by a publicly traded company? YES NO

Is the Registrant an approved servicer for: FHA GNMA FNMA FHLMC

Does the Registrant allow Web-based loan payments? YES NO

Does the Registrant fund reverse mortgage loans to New York State residents? YES* NO

*If Yes, indicate the reverse mortgage program(s) funded:

NYS HUD/HECM Other (provide name) _____

D. DIRECTOR AND OFFICER INFORMATION

1. DIRECTORS

Does the Registrant have any directors?

YES NO

If No, skip to Item D-2. If Yes, provide the following information for all directors.

Mr.

Ms.

Attach additional pages as necessary

First Name Middle Name Last Name
Home Phone Number

Home Address City State Zip Code
Date of Birth (mm-dd-yyyy) Social Security Number

Mr.

Ms.

First Name Middle Name Last Name
Home Phone Number
Individual's Title in Company

Home Address City State Zip Code
Date of Birth (mm-dd-yyyy) Social Security Number

2. EXECUTIVE OFFICERS

How many executive officers does the Registrant have?

0 1 2 3 or More

If the Registrant has no executive officers, skip to Item E-1. If the Registrant has 1 or 2 executive officers, provide the following information for each officer. If the Registrant has 3 or more executive officers, provide the following information for the Registrant's three most senior executive officers.

Mr.

Ms.

First Name Middle Name Last Name
Home Phone Number
Individual's Title in Company

Home Address City State Zip Code
Date of Birth (mm-dd-yyyy) Social Security Number

- Mr.
- Ms.

 First Name Middle Name Last Name
 _____ () _____ - _____
 Individual's Title in Company Home Phone Number

 Home Address City State Zip Code
 _____ - _____ - _____
 Date of Birth (mm-dd-yyyy) Social Security Number

- Mr.
- Ms.

 First Name Middle Name Last Name
 _____ () _____ - _____
 Individual's Title in Company Home Phone Number

 Home Address City State Zip Code
 _____ - _____ - _____
 Date of Birth (mm-dd-yyyy) Social Security Number

E. OTHER INFORMATION REGARDING INDIVIDUALS

1. TOTAL NUMBER OF INDIVIDUALS

Employees include anyone who receives a W-2 form; independent contractors include anyone who receives a 1099 form from the Registrant

For the reported calendar year, state the total number of individuals who had any direct dealing with mortgage loan servicing for 1 - 4 family, residential properties in New York State. **Include owners, partners, stockholders with 10% or more voting stock in the Registrant, directors, agents, employees, independent contractors, consultants, and any other person(s) having a relationship with the Registrant similar to that of a consultant, regardless of where they live or work.**

Total Number of Individuals Associated with the Registrant = _____ , _____

2. QUALIFIER INFORMATION

If another person has been designated the Qualifier since registration, provide the name of that person

Provide the full name and title of the Registrant's Qualifier. The Qualifier is the person who, at the time of Registration, was deemed to have met the minimum business experience requirements under Part 418.11(a) of the Superintendent's Proposed Regulations.

- Mr.
- Ms.

 Full Name of Qualifier (First Name, Middle Name, Last Name)

 Qualifier's Title in Company

3. CRIMINAL BACKGROUND CHECKS FOR DETERMINING FELONY CONVICTIONS

Does the Registrant conduct criminal background checks for determining the felony convictions of its directors, officers, agents, employees, independent contractors, consultants, new owners, new partners, and any other persons having a relationship with the Registrant similar to that of a consultant?

YES NO

If Yes, how often are these criminal background checks conducted?

At time of hire only Quarterly Semi-annually Yearly Bi-annually

4. INDIVIDUALS WITH FELONY CONVICTIONS

a. Has a convicted felon served as owner, partner, stockholder with 10% or more of voting stock in the Registrant, director, officer, agent, employee, independent contractor, consultant, or in any other role at or with the Registrant during the reported calendar year or at any time since?

YES NO

1. If No, skip to Item F-1. If Yes, provide the following details for each individual.

Mr.
 Ms.

First Name Middle Name Last Name

Individual's Title in Company (if applicable)

_____-_____-_____
Date of Birth (mm-dd-yyyy)

_____-_____-_____
Social Security Number

Relationship with Registrant/Job Responsibilities

_____-_____-_____
Date of Hire (mm-dd-yyyy)

_____-_____-_____
Date of Termination (mm-dd-yyyy)

b. State the crime committed, case number, court in which the case was adjudicated, and date of conviction:

Crime Committed

Case Number

Court in Which Case Was Adjudicated

_____-_____-_____
Date of Conviction (mm-dd-yyyy)

Attach additional pages as necessary

- c. Has the Department of Financial Services been officially notified about the employment of this felon? YES NO
1. If Yes, has the Registrant received written consent from the Department of Financial Services approving the Registrant's relationship with this individual? YES NO
- d. Has the individual obtained a Certificate of Relief from Disabilities? YES NO
1. If Yes, has the Certificate of Relief been provided to the Department of Financial Services? YES NO*

* If No, attach a copy of the certificate to this report. If this report is being submitted electronically, mail the certificate to: ATTN: MD — VOSR, New York State Department of Financial Services, One State Street, New York, NY 10004-1511. Remember to reference your internet submission confirmation number.

F. LITIGATION, BANKRUPTCY, AND REGULATORY ACTIONS

1. LITIGATION

Is the Registrant involved in any financial services-related litigation? YES NO

If Yes, provide the caption (name of case), index number, and court name for each case. **You must attach a signed statement from legal counsel** on whether the legal action materially impacts the Registrant's financial condition and/or ability to meet obligations. (If this report is being submitted electronically, mail the signed statement to: ATTN: MD — VOSR, New York State Department of Financial Services, One State Street, New York, NY 10004-1417.)

Attach the signed statement from legal counsel	Caption (Name of Case)
Attach additional pages as necessary	Index Number of Case
Attach additional pages as necessary	Court Name

2. BANKRUPTCY

In the reported calendar year or at any time since, has the Registrant or any of its owners, partners, stockholders with 10% or more of voting stock in the Registrant, directors, or officers filed for bankruptcy?

YES NO

If Yes, state the entity or individual filing for bankruptcy, the type of bankruptcy, and the date of filing.

Attach additional pages as necessary	Entity or Individual Filing for Bankruptcy
	Type of Bankruptcy (Chapter 7, Chapter 11, etc)
	<input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Date of Bankruptcy Filing (mm-dd-yyyy)

3. REGULATORY ACTIONS

In the reported calendar year or at any time since, has any state, federal, or foreign regulatory authority denied, suspended, revoked, or restricted the authorization to conduct a financial services-related business by Registrant or any of its owners, partners, stockholders with 10% or more of voting stock in the Registrant, directors, or officers?

YES NO

If Yes, provide the following information for each regulatory action.

Attach additional pages as necessary

Name of Regulatory Authority

Type of Regulatory Action

Entity or Individual Subject to the Regulatory Action

Amount of Fine (if applicable)

____ - ____ - _____
Date of Regulatory Action (mm-dd-yyyy)

Important: Report actual whole dollar amounts in Part G. Your report may be deemed incomplete if you fail to use actual whole dollar amounts. (Example: \$7,000,012.53 **must** be stated as \$7,000,013.)

G. LOAN SERVICING INFORMATION

You must use one accounting basis consistently throughout Part G

1. ACCOUNTING BASIS

Under what accounting basis are questions in Part G being answered? **Mark only one box.**

Accrual Basis Cash Basis

2. GROSS REVENUE EARNED

For the reported calendar year, provide information on any revenue earned in connection with mortgage loans serviced for 1 - 4 family residential properties located in New York State.

<p>Loan-Servicing (Report servicing revenue earned on loans your institution owns the mortgage servicing rights)</p>	\$ _____, _____, _____, _____
<p>Sub-Servicing (report revenue earned under third-party, including affiliates, sub-servicing contracts. Do not include revenue on loans where you own the servicing rights)</p>	\$ _____, _____, _____, _____
<p>Servicing Advances Revenues (Revenue from servicing advances)</p>	\$ _____, _____, _____, _____
<p>Late Fees/Charges (Include late charge penalties and fees collected from borrowers)</p>	\$ _____, _____, _____, _____
<p>Bulk Sale of Servicing Rights (Revenue from bulk sale of servicing rights, service release premiums and gain on sale)</p>	\$ _____, _____, _____, _____
<p>Other Revenue (Include other revenue not reported above, including fees collected e.g., report fees, appraisal fees, and or attorney fees. Do not include fees passed through or paid to third parties)</p>	\$ _____, _____, _____, _____
<p>Interest Revenue (Revenue from servicing related to P&I and T&I custodial accounts)</p>	\$ _____, _____, _____, _____
<p>Total Revenue Earned</p>	\$ _____, _____, _____, _____

See instructions for additional details

H. PRIME LOANS

1. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for prime first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 1-A. Breakdown by Loan Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

See instructions for additional details

Section 419.12(i)

Table 1-B. Fixed Rate/Adjustable Rate
(Pricing breakdown of total first lien prime loans—reported in Table 1-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

2. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for prime first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Breakdown by Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

H. PRIME LOANS - CONTINUE

3. JUNIOR LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for prime junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 3-A. Junior Lien Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Open Ended (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Closed Ended (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
High Cost Home Loans	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

Section 419.12(i)

Table 3-B. Fixed and Adjustable Rate

(Pricing breakdown of total prime junior lien loans—reported in Table 3-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Adjustable Rate (do not include interest only loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

4. JUNIOR LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for prime junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Junior Lien Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
Open Ended (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Closed Ended (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
High Cost Home Loans	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

I. SUB-PRIME LOANS

1. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for sub-prime first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 1-A. Breakdown by Loan Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

See instructions for additional details

Section 419.12(i)

Table 1-B. Fixed Rate/Adjustable Rate

(Pricing breakdown of total first lien sub-prime loans—reported in Table 1-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

2. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for sub-prime first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Breakdown by Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

I. SUB-PRIME LOANS— CONTINUE

3. JUNIOR LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for sub-prime junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 3-A. Junior Lien Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(i)

Table 3-B. Fixed Rate/Adjustable Rate

(Pricing breakdown of total sub-prime junior lien loans—reported in Table 3-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

4. JUNIOR LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for sub-prime junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Junior Lien Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

J. ALT-A LOANS

1. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for Alt-A first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 1-A. Breakdown by Loan Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

See instructions for additional details

Section 419.12(i)

Table 1-B. Fixed Rate/Adjustable Rate
(Pricing breakdown of total first lien prime loans—reported in Table 1-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

2. FIRST LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for Alt-A first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Breakdown by Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

J. ALT-A LOANS— CONTINUE

3. JUNIOR LIEN LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for Alt-A junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the tables below.

Section 419.12(a)

Table 3-A. Junior Lien Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(i)

Table 3-B. Fixed Rate/Adjustable Rate
(Pricing breakdown of total Alt-A junior lien loans—reported in Table 3-A, above)

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate (do not include interest only loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

4. JUNIOR LIEN AMORTIZING (P & I) LOANS SERVICED ON PROPERTIES IN THE U.S. (INCLUDING NEW YORK STATE)

Provide the number and unpaid principal balance for Alt-A junior lien mortgage loans (including HELOS and HELOCS) serviced during the reported calendar year for 1 - 4 family, residential properties located in the U.S. (including New York State).

Section 419.12(a)

Junior Lien Loan Type

	Number of United States Loans	Unpaid Principal Balance of United States Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

K. LOAN SERVICING INFORMATION—INTEREST ONLY

1. INTEREST ONLY FIRST LIEN LOANS SERVICED ON PROPERTIES LOCATED IN NEW YORK STATE

Provide the number and unpaid principal balance for Interest Only first lien mortgage loans serviced during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of these mortgage loans using the categories in the table below (Prime, Sub-prime, Alt-A, Fixed rate, and Adjustable rate).

Section 419.12(a)

Table 1-A. Interest Only First Lien Loan Type

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
FHA (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conventional (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Reverse Mortgages	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(i)

Table 1-B. Details on Interest Only First Lien Loans

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Prime	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Sub-prime	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Alt-A	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(i)

Table 1-C. Rate Structure of Interest Only First Lien Loans

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Fixed Rate	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Adjustable Rate	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

L. LOSS MITIGATION PROGRAM

1. TYPE OF LOSS MITIGATION ARRANGEMENTS IN PROCESS

Provide the number and unpaid principal balance for loss mitigation arrangements **in-process** during the reported calendar year for 1 - 4 family, residential properties located in New York State.

Section 419.12(c)(2)

Table 1-A. Details on Prime Loans in Loss Mitigation Process

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Forbearance	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Repayment Plan	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Loan Modification	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Partial Claim	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Short Sale	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed for Lease	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed in lieu of Foreclosure	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(c)(2)

Table 1-B. Details on Sub-prime Loans in Loss Mitigation Process

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Forbearance	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Repayment Plan	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Loan Modification	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Partial Claim	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Short Sale	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed for Lease	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed in lieu of Foreclosure	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(c)(2)

Table 1-C. Details on Alt-A Loans in Loss Mitigation Process

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Forbearance	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Repayment Plan	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Loan Modification	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Partial Claim	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Short Sale	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed for Lease	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Deed in lieu of Foreclosure	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

L. LOSS MITIGATION PROGRAM—CONTINUE

2A. APPROVED LOSS MITIGATION ARRANGEMENTS FOR PRIME LOANS

Provide the number and unpaid principal balance for approved mitigation arrangements for Prime loans in process during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of the approved mitigation arrangement process using the categories in the tables below (Fixed rate, and Adjustable rate).

Section 419.12(c)(3)&(4)

Table 2-A1. Details on Approved Loss Mitigation Prime Loans—Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□

Section 419.12(c)(3)&(4)

Table 2-A2. Details on Approved Loss Mitigation Prime Loans— Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□

L. LOSS MITIGATION PROGRAM—CONTINUE

2B. APPROVED LOSS MITIGATION ARRANGEMENTS FOR SUB-PRIME LOANS

Provide the number and unpaid principal balance for approved mitigation arrangements for Sub-prime loans in process during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of the approved mitigation arrangement process using the categories in the tables below (Fixed rate, and Adjustable rate).

Section 419.12(c)(3)&(4)

Table 2-B1. Details on Approved Loss Mitigation Sub-prime Loans—Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□

Section 419.12(c)(3)&(4)

Table 2-B2. Details on Approved Loss Mitigation Sub-prime Loans— Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□. □□

L. LOSS MITIGATION PROGRAM—CONTINUE

2C. APPROVED LOSS MITIGATION ARRANGEMENTS FOR ALT-A LOANS

Provide the number and unpaid principal balance for approved mitigation arrangements for Alt-A loans in process during the reported calendar year for 1 - 4 family, residential properties located in New York State. Provide a breakdown of the approved mitigation arrangement process using the categories in the tables below (Fixed rate, and Adjustable rate).

Section 419.12(c)(3)&(4)

Table 2-C1. Details on Approved Loss Mitigation Alt-A Loans—Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□

Section 419.12(c)(3)&(4)

Table 2-C2. Details on Approved Loss Mitigation Alt-A Loans— Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Forbearance	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Repayment Plan	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Loan Modification	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Partial Claim	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Short Sale	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed for Lease	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Deed in lieu of Foreclosure	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□

L. LOSS MITIGATION PROGRAM—CONTINUE

3. APPROVED LOSS MITIGATION ARRANGEMENTS BY RATE STRUCTURE

Provide the number and unpaid principal balance for approved mitigation arrangements by the rate structure before and after it was approved during the reported calendar year for 1 - 4 family, residential properties located in New York State.

Section 419.12(c)(3)&(4)
Table 3-A. Details on Rate Structure

	Number of New York Loans	Unpaid Principal Balance of New York Loans	Percentage %
Adjustable Rate to Fixed Rate	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Fixed Rate to Fixed Rate	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Adjustable Rate to Adjustable Rate	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Fixed Rate to Adjustable Rate	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□	□□□□.□□

4. LOSS MITIGATION - REDEFAULTS

Provide the number of redefaults for the specific reason shown below during the reported calendar year for 1 - 4 family, residential properties located in New York State.

Section 419.12(c)(5)
Details on Redefault Reasons

REDEFAULT REASON	Number of New York Loans
Loss of Income	□□□□, □□□□
Illness	□□□□, □□□□
Illness of Family Member	□□□□, □□□□
Death	□□□□, □□□□
Death of Family Member	□□□□, □□□□
Total	□□□□, □□□□

L. LOSS MITIGATION PROGRAM—CONTINUE

5. TOTAL WORKOUTS ON LOAN MODIFICATIONS ON PROPERTIES IN NEW YORK STATE

Provide the number and unpaid principal balance for loan workouts on loan modification for the reported calendar year for 1 - 4 family, residential properties located in New York State which resulted in the types of arrangements listed below. Provide a breakdown of the loan workouts using the categories in the tables below (Prime, Sub-prime and Alt-A Loans).

Section 419.12(d)(1-11)

Table 5-A. Details on Total Workouts on Loan Modifications for Prime Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increase in Principal	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Interest Rate Reduction and Freezes	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Term Extensions	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Reductions of Principal	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Deferrals of Principals	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Combination of Mods from (1—5)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Increased monthly P & I payments	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
No change in monthly P & I payments	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Less than 10% Decrease of Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
10—20% Decrease in Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
20% or more Decrease in Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

Section 419.12(d)(1-11)

Table 5-B. Details on Total Workouts on Loan Modifications for Sub-prime Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increase in Principal	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Interest Rate Reduction and Freezes	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Term Extensions	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Reductions of Principal	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Deferrals of Principals	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Combination of Mods from (1—5)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Increased monthly P & I payments	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
No change in monthly P & I payments	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Less than 10% Decrease of Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
10—20% Decrease in Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
20% or more Decrease in Monthly P + I	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

L. LOSS MITIGATION PROGRAM—CONTINUE

5. TOTAL WORKOUTS ON LOAN MODIFICATIONS ON PROPERTIES IN NEW YORK STATE

Provide the number and unpaid principal balance for loan workouts on loan modification for the reported calendar year for 1 - 4 family, residential properties located in New York State which resulted in the types of arrangements listed below. Provide a breakdown of the loan workouts using the categories in the tables below (Prime, Sub-prime and Alt-A Loans).

Section 419.12(d)(1-11)

Table 5-C. Details on Total Workouts on Loan Modifications for Alt- A Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increase in Principal	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Interest Rate Reduction and Freezes	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Term Extensions	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Reductions of Principal	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Deferrals of Principals	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Combination of Mods from (1-5)	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Increased monthly P & I payments	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
No change in monthly P & I payments	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Less than 10% Decrease of Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
10-20% Decrease in Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
20% or more Decrease in Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Total	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□

6. REDEFAULT LOAN MODIFICATION

Provide the number and unpaid principal balance for modified loans that entered into default, for 1 - 4 family, residential properties located in New York State. Provide a breakdown of the default where the loan modification resulted in the following options listed below using the categories in the tables (Prime, Sub-prime and Alt-A Loans).

Section 419.12(e)(1-5)

Table 6-A. Details on Redefault Loan Modifications for Prime Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increased monthly P & I payments	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
No change in monthly P & I payments	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Less than 10% Decrease of Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
10-20% Decrease in Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
20% or more Decrease in Monthly P + I	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□
Total	□□□□ , □□□□	\$ □□□□ , □□□□ , □□□□ , □□□□

L. LOSS MITIGATION PROGRAM—CONTINUE

6. REDEFAULT LOAN MODIFICATION— CONTINUE

Provide the number and unpaid principal balance for modified loans that entered into default, for 1 - 4 family, residential properties located in New York State. Provide a breakdown of the default where the loan modification resulted in the following options listed below using the categories in the tables (Prime, Sub-prime and Alt-A Loans).

Section 419.12(e)(1-5)

Table 6-B. Details on Redefault Loan Modifications for Sub-prime Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increased monthly P & I payments	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
No change in monthly P & I payments	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Less than 10% Decrease of Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
10—20% Decrease in Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
20% or more Decrease in Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(e)(1-5)

Table 6-C. Details on Redefault Loan Modifications for Alt-A Loans

	Number of Loans for New York State	Unpaid Principal Balance of New York State Loans
Increased monthly P & I payments	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
No change in monthly P & I payments	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Less than 10% Decrease of Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
10—20% Decrease in Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
20% or more Decrease in Monthly P + I	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

7. IDENTIFY PRIMARY REASONS FOR DELINQUENCY

Provide the number of delinquencies for the specific reason shown below during the reported calendar year for 1 - 4 family, residential properties located in New York State.

**Section 419.12(j)
Details on Delinquency Reasons**

DELINQUENCY REASON	Number of New York Loans
Loss of Income	□ □ □ □ , □ □ □ □
Illness	□ □ □ □ , □ □ □ □
Illness of Family Member	□ □ □ □ , □ □ □ □
Death	□ □ □ □ , □ □ □ □
Death of Family Member	□ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □

M. MORTGAGE LOANS IN DEFAULT AND FORECLOSURE

1. FIRST LIEN MORTGAGE LOANS IN PAYMENT DEFAULT BEING SERVICED

Provide the number and unpaid principal balance for first lien mortgage loans that the Registrant is servicing that are in payment default. Provide a breakdown of these mortgage loans using the categories in the tables below (Fixed rate and Adjustable rate).

Section 419.12(b)

Table 1-A. First Lien Loans - Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
FHA (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Conventional (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
High Cost Home Loans	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Reverse Mortgages	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

Section 419.12(b)

Table 1-B. First Lien Loans - Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
VA (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
FHA (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Conventional (do not include High Cost Home Loans)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Non-conforming/Jumbo (exceeds FMNA/FHLMC limits)	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
High Cost Home Loans	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Reverse Mortgages	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

2. FIRST LIEN MORTGAGE LOANS IN PAYMENT DEFAULT BEING SERVICED

Provide the number and unpaid principal balance for first lien mortgage loans that the Registrant is servicing that are in payment default. Provide a breakdown of these mortgage loans by length of payment delinquency (30 days, 60 days, 90 days, and longer).

Section 419.12(b)

Table 2-A. Details on First Lien Loans - Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
31— 60 Days	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
61 — 90 Days	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
91+ Days	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□
Total	□□□□, □□□□	\$ □□□□, □□□□, □□□□, □□□□

M. MORTGAGE LOANS IN DEFAULT AND FORECLOSURE—CONTINUE

2. FIRST LIEN MORTGAGE LOANS IN PAYMENT DEFAULT BEING SERVICED—CONTINUE

Provide the number and unpaid principal balance for first lien mortgage loans that the Registrant is servicing that are in payment default. Provide a breakdown of these mortgage loans by length of payment delinquency (30 days, 60 days, 90 days, and longer).

Section 419.12(b)

Table 2-B. Details on First Lien Loans - Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
31— 60 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
61— 90 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
91+ Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(b)

Table 2-C. Details on First Lien Loans - Sub-prime

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
31— 60 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
61— 90 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
91+ Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

3. JUNIOR LIEN MORTGAGE LOANS IN PAYMENT DEFAULT BEING SERVICED

Provide the number and unpaid principal balance for junior lien mortgage loans that the Registrant is servicing that are in payment default. Provide a breakdown of these mortgage loans using the categories in the tables below (Fixed rate and Adjustable rate).

Section 419.12(b)

Table 3-A. Junior Lien Loans - Fixed Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

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Table 3-B. Junior Lien Loans - Adjustable Rate

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Open Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Closed Ended (do not include High Cost Home Loans)	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
High Cost Home Loans	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

M. MORTGAGE LOANS IN DEFAULT AND FORECLOSURE—CONTINUE

4. JUNIOR LIEN MORTGAGE LOANS IN PAYMENT DEFAULT BEING SERVICED

Provide the number and unpaid principal balance for junior lien mortgage loans that the Registrant is servicing that are in payment default. Provide a breakdown of these mortgage loans by length of payment delinquency (30 days, 60 days, 90 days, and longer).

Section 419.12(b)

Table 4-A. Details on Junior Lien Loans - Open Ended

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
31— 60 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
61— 90 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
91+ Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(b)

Table 4-B. Details on Junior Lien Loans - Closed Ended

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
31— 60 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
61— 90 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
91+ Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(b)

Table 4-C. Details on Junior Lien Loans - Sub-prime

	Number of New York Loans	Unpaid Principal Balance of New York Loans
30 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
31— 60 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
61— 90 Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
91+ Days	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

M. MORTGAGE LOANS IN DEFAULT AND FORECLOSURE—CONTINUE

5. FORECLOSURE AND SETTLEMENT CONFERENCES

Provide the number and unpaid principal balance for foreclosure and Settlement Conferences during the reported calendar year for 1 - 4 family, residential properties located in New York State.

Section 419.12(f)

Table 5-A1. Details on Foreclosures

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Served Pre-Foreclosure Notices	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Loans in Pending Foreclosure	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Loans Foreclosed	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Foreclosed REO Sales	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(g)

Table 5-A2. Details on Settlement Conference

	Number of New York Loans	Unpaid Principal Balance of New York Loans
Conferences Scheduled	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Conferences Adjourned	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

Section 419.12(g)

Table 5-A3. Settlement Conference Resolution

	Number of New York Loans
Positive Resolution	□ □ □ □ , □ □ □ □
Negative Resolution	□ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □

6. REAL ESTATE OWNED — PROPERTIES HELD IN NEW YORK STATE

Provide the number and dollar amount for Real Estate owned properties held in New York State.

Section 419.12(h)

Table 6-A. Details on REO Property

	Number of Properties Held for New York State	Dollar Amount of Properties Held for New York State
Single Family	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
2 — 4 Family	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
3 — 4 Family	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □
Total	□ □ □ □ , □ □ □ □	\$ □ □ □ □ , □ □ □ □ , □ □ □ □ , □ □ □ □

AFFIDAVIT

SUBMISSION OF THIS REPORT VIA THE INTERNET IS MADE POSSIBLE BY A PASSWORD THAT WAS GIVEN BY THE DEPARTMENT OF FINANCIAL SERVICES TO THE MAIN CONTACT OR ONE OF THE OWNERS OR PRINCIPAL OFFICERS OF THE LICENSED/REGISTERED ENTITY.

I, _____ (full name), being the _____ (title) of the Licensee/Registrant, swear/affirm that I am an owner or one of the principal officers authorized to submit this Volume of Servicing Report via the internet and that the financial figures contained herein are current, accurate, correct, and made under penalty of perjury, or un-sworn falsification to authorities, or similar provisions as provided by law.

This attestation does not apply to Sections A through F of the report as this information is pre-filled by the Department. If the pre-filled information does not reflect current information, send a letter to the Department explaining the differences.

Please be advised, Section 44-a of the New York Banking Law allows the Superintendent to assess a penalty of not more than \$2,000 per day for inaccurately filed reports. Furthermore, such penalty can be increased to not more than \$20,000 per day, if the Department determines that errors or omissions were not inadvertent or unintentional.

+ PLEASE NOTE

ALL QUESTIONS MUST BE ANSWERED AS INSTRUCTED. INACCURATE OR INCOMPLETE REPORTING MAY RESULT IN REGULATORY ACTIONS INCLUDING THE LEVY OF A FINE PURSUANT TO SECTION 44-a OF THE NEW YORK STATE BANKING LAW.

- Keep copies of this and all correspondence with the Department of Financial Services.
- Only conduct regulated mortgage activities at licensed/registered locations.

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- Request approval from the Superintendent before a change of control (Banking Law Section 594-b).
- Communicate changes of ownership promptly in writing.
- Work only with licensed/registered/exempt entities.