

# VERIFIED CERTIFICATE OF FIRM OF PRIVATE BANKERS APPLYING FOR AUTHORIZATION TO CONTINUE BUSINESS

We, the undersigned, being of full age, and desiring to continue in business as private bankers, pursuant to the provisions of Article IV of the Banking Law, Chapter 2 of the Consolidated Laws, do hereby certify:

1. That our full names, residences and post-office addresses are as follows:

Full Names	Residences	Post-Office Addresses
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

That the firm name or style under which we are engaged in business is \_\_\_\_\_  
\_\_\_\_\_.

2. That the state or country of which each of us is a citizen is as follows:

Name	State or Country
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

3. That the amount of permanent capital which we have kept invested in our business as private bankers is \_\_\_\_\_ dollars.

4. That the place where such business is to be transacted is \_\_\_\_\_  
\_\_\_\_\_, in the State of New York.

**IN WITNESS WHEREOF**, We have made, signed and verified this certificate in duplicate, this

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

STATE OF NEW YORK, }

County of \_\_\_\_\_}ss.:

County of \_\_\_\_\_}

\_\_\_\_\_  
\_\_\_\_\_

being duly sworn, each for himself/herself says, that he/she has read the foregoing certificate and that the facts stated therein are true.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Severally subscribed and sworn to before me,  
this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_.

\_\_\_\_\_  
Notary Public

SEAL  
OF  
NOTARY