

**CERTIFICATE OF COMPLIANCE**

**with Section 296-a of the**

**EXECUTIVE LAW OF THE STATE OF NEW YORK**

(for banking organizations and  
other applicants in formation)

In connection with the application of

(name of institution)

to the Superintendent of Banks

for

(type of application)

pursuant to Section 590 of the New York Banking Law, the undersigned hereby  
certifies that the applicant will comply in all respects with Section 296-a of the  
Executive Law of the State of New York.

(name of organization)

by\* \_\_\_\_\_  
(print or type name and title  
beneath signature)

(name)

(title)

\* In the case of banking organizations in formation, this document should be signed  
by all of the incorporators.