COMPLIANCE AFFIDAVIT

Licensee: _____

Proposed Location: _____

The undersigned, _____, being duly sworn, deposes and states that:
(Name)

1. The use of the proposed location as a premium finance company is in compliance with local zoning regulations.

2. Capital for the first year of operations will be maintained at a level at least equal to 10 percent of the amount of outstanding premium finance agreements but, in any event, not less than $1,500. The 10 percent ratio will be maintained continuously thereafter.

3. The proposed business will abide by, and establish procedures to ensure compliance with, the privacy provisions of Title V of the Gramm-Leach-Bliley Act of 1999 and the regulations that were promulgated thereunder by the Federal Trade Commission as found in 16 C.F.R., Part 313.

(Name of the officer:_____)

_____
(Authorized Signature and Title)

Subscribed and sworn to before me this _____ day of _____, 20___.

_____
Notary Public