



NEW YORK STATE DEPARTMENT OF FINANCIAL SERVICES

One State Street, New York, NY 10004

An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill a Job Vacancy

Deputy Superintendent for Enforcement, NS

Location: One State Street, NYC

Business Unit: Executive

Negotiating Unit: Management/Confidential (M/C)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: Commensurate with experience

Appointment Status: Exempt

Appointment to this position is pending Division of Budget approval to fill.

The Department of Financial Services is seeking a Deputy Superintendent for Enforcement to help guide the Department's enforcement actions to ensure that regulated parties are held accountable for unlawful business practices.

Under the direction of the Executive Deputy Superintendent for Enforcement, the Deputy Superintendent serves as the second-in-command of the Department's Enforcement Unit, ensuring that the Executive Deputy Superintendent's vision and leadership for the agency's enforcement activities are implemented. The Deputy Superintendent handles the daily management of the unit's activities, assists with the oversight of enforcement actions, and coordinates with other senior staff and law enforcement agencies.

The duties of this position include, but are not limited to, the following:

- Overseeing the day-to-day management of investigations.
- Participating with the Executive Deputy Superintendent in formulating policies regarding enforcement activities and actions.
- Assisting in the development of strategic and operational planning activities for the Enforcement Unit.
- Reviewing policy determinations for the impact on the Department's enforcement operations and making recommendations to the Executive Deputy Superintendent.
- Keeping current with trends and issues in the financial and/or enforcement industry, including current technologies and best-practices.

Qualifications:

Admission to the Bar of the State of New York and at least eight years of experience in the practice of law involving civil or criminal litigation and/or regulatory work. Experience as a federal or state criminal or civil prosecutor is preferred but not mandatory. Candidates should possess developed leadership acumen, organizational skills and the ability to manage staff engaged in conducting large and complex investigations.

To Apply: Interested qualified candidates must submit a resume and letter of interest to the email address listed below. Please include **Box #EXEC-01** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred. Applications will be accepted until the position is filled.**

Dina Haggerty
Box EXEC-01
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza
Albany, NY 12257
Email: Dina.Haggerty@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.